

October 30, 2014

JOINT SUMMARY OF THE CHAIRS
47TH GEF COUNCIL MEETING
OCTOBER 28 – 30, 2014

OPENING OF THE MEETING

1. The meeting was opened by Naoko Ishii, Chief Executive Officer/Chairperson of the Facility.

ELECTION OF A CHAIRPERSON

2. The Council elected Mr. Winston Thompson, the Council Member representing the Pacific Islands Constituency – Cook Islands, Fiji, Indonesia, Kiribati, Marshall Islands, Micronesia, Nauru, Niue, Palau, Papua New Guinea, Philippines, Samoa, Solomon Islands, Timor Leste, Tonga, Tuvalu, and Vanuatu.

ADOPTION OF THE AGENDA

3. The Council adopted the provisional agenda set forth in document GEF/C.47/01/Rev.01.

REPORT OF THE CHAIRPERSON OF THE SCIENTIFIC AND TECHNICAL ADVISORY PANEL

4. Ms. Rosina Bierbaum, the Chairperson of the Scientific and Technical Advisory Panel, made a statement to the Council on the activities undertaken by the Panel.

DECISIONS APPROVED

5. The Council approved the following decisions with respect to the items on its agenda.

Decision on Agenda Item 4 Annual Monitoring Review (AMR) FY14: Part I

6. The Council, having reviewed GEF/C.47/04, *Annual Monitoring Review (AMR) FY14: Part I*, welcomes the overall finding that the GEF portfolio under implementation in FY14 performed satisfactorily across all focal areas. The Council welcomes the first disbursement analysis in the management effectiveness section.

Decision on Agenda Item 5 Results-based Management: Action Plan

7. The Council, having considered document GEF/C.47/05, *Results-based Management: Action Plan*, welcomed and approved the Action Plan. The Council requests the Secretariat to

report on progress in the implementation of the Action Plan at the next Council meeting in June 2015.

Decision on Agenda Item 6

GEF-6 Non-Grant Instrument Pilot and Updated Policy for Non-Grant Instruments

8. The Council, having reviewed document GEF/C.47/06, *GEF-6 Non-Grant Instrument Pilot and Updated Policy for Non-Grant Instruments*, approves the implementation modalities for the Non-Grant Instrument Pilot. The Council also approves the updated Policy on Non-Grant instruments presented in Annex 1 to this document with an effectiveness date of November 1, 2014. This new policy replaces and supersedes the policy of March 2008 set out in Council Document GEF/C.33/12 with the title “Operational Policies and guidance for the Use of Non-Grant Instruments.”

Decision on Agenda Item 7

Improving the GEF Project Cycle

9. The Council, having reviewed document GEF/C.47/07, *Improving the GEF Project Cycle*, approves the following: (i) the updated Project Cancellation Policy as proposed in this paper and set out in Annex 2; and (ii) a revised Programmatic Approach modality as proposed in this paper. Furthermore, the Council requests the GEF Secretariat to provide at the 48th Council Meeting an analysis of the stock of projects approved before the 46th Council Meeting that are delayed more than 18 months from PIF/Council approval to CEO endorsement, and provide recommendations on how to address the issue, including possible modalities for inclusion in the updated Cancellation Policy.

Decision on Agenda Item 8

The Country Support Programme Implementation

10. The Council, having reviewed document GEF/C.47/08, *The Country Support Programme Implementation*, approved the proposal for the Secretariat to execute the Country Support Programme according to the procedures contained therein.

11. The Country Support Programme will be comprised of the following elements, funded with a total allocation from the GEF Trust Fund of \$23 million, allocated across each activity as specified below:

- (a) Support for National Portfolio Formulation Exercises (NPFs): \$2.4 million;
- (b) Multi-stakeholder dialogues: \$2 million;
- (c) GEF Workshops: \$12 million (ECWs 10 million; other workshops 2 million)
- (d) Constituency Meetings: \$5 million;
- (e) GEF Introduction Seminars: \$1.2million; and
- (f) Pre-Council Meeting for Recipient Country Council Members/Alternates: 0.4 million

12. The Council directed the Trustee to set aside \$23 million in GEF Trust Fund resources for use by the Secretariat to fund these activities.

Decision on Agenda Item 9

Gender Equality Action Plan

13. The Council, having reviewed document GEF/C.47/09, *Gender Equality Action Plan (GEAP)*, welcomes the action plan and approves its implementation, on the understanding that the relevant sections of the GEAP will be updated to clarify that the Secretariat will be creating a gender anchor function with gender specific expertise. The updated GEAP (GEF/C.47/09.Rev.01) will be posted on the GEF webpage section on Council Documents.

14. The Secretariat will bring in external gender specific expertise to help develop a long term solution to establish capacity in the Secretariat to meet the commitments made under this GEAP, including the creation and adequate staffing of the gender anchor function. The Secretariat will take these steps as a matter of high priority and provide an update on the progress at the 48th Council Meeting in June 2015.

15. The Council recognizes the close collaboration among the GEF Secretariat, GEF Agencies, related Multilateral Environment Agreements, civil society organizations, including women's groups, and other partners, in developing the action plan, and requests that this will be continued for its implementation.

Decision on Agenda Item 10

Relations with the Conventions and Other International Institutions

16. The Council, having considered document GEF/C.47/03, *Relations with the Conventions and Other International Institutions*, welcomed the report and requested the GEF Secretariat for future Council meetings to provide highlights of the key issues of relevance to the GEF that were discussed at the meetings of the conventions and the decisions taken thereon. Further the Council requested the Secretariat to include in future reports the table with responses to the guidance from the COPs of all conventions for which the GEF serves as a financial mechanism. Finally, the Council requested the GEF network to continue to work with recipient countries to reflect the guidance and national priorities in their GEF programming and activities.

Decision on Agenda Item 11

Progress Report on the Pilot Accreditation of GEF Project Agencies & Timeline for Further Discussion of Accreditation

17. The Council, having reviewed GEF/C.47/10, *Progress Report on the Pilot Accreditation of GEF Project Agencies & Timeline for Further Discussion of Accreditation*, notes the status of the Stage II reviews conducted by the Accreditation Panel to date and welcomes the Panel's decision to approve FUNBIO's progress from Stage II to Stage III.

18. The Council requests the Independent Evaluation Office to initiate an evaluation of the GEF accreditation process, and to present the findings of this evaluation to the Council at its 48th meeting in June 2015. The Council also requests the Secretariat to present a paper regarding possible directions on accreditation in the context of the evolving GEF business model, as a basis for discussion at the 49th meeting of the Council in November 2015.

19. The Council, having reviewed document GEF/ME/C.47/01, *Progress Report of the GEF Independent Evaluation Office Director*, takes note of the directions outlined in the document and authorizes the Director of the Independent Evaluation Office to further develop the work program with guidance from the Council and in consultation with GEF stakeholders for approval by the Council in its first meeting in 2015.

20. The Council, having reviewed document GEF/C.47/11, *Work Program*, approved the work program comprising fifteen (15) new project concepts, subject to comments made during the Council meeting and additional comments that may be submitted in writing to the Secretariat by November 6, 2014.

21. Total resources approved in this work program¹ amounted to \$190.74 million which include GEF project financing and Agency fees. The work program is comprised of the following Project Identification Forms (PIFs):

Chemicals and Waste

1. **China** : UPOPs Reduction through BAT/BEP and PPP-based Industry Chain Management in Secondary Copper Production Sector in China (UNDP) (GEF Project Financing : \$12,600,000) (GEF ID 6966)
2. **Colombia** : Reducing UPOPs and Mercury Releases from Healthcare Waste Management, e-Waste Treatment, Scrap Processing and Biomass Burning (UNDP) (GEF Project Financing : \$5,600,000) (GEF ID 6928)

Climate Change

3. **Global (Afghanistan, Antigua And Barbuda, Angola, Burkina Faso, Bahrain, Dominica, Eritrea, Fiji, Gambia, Guinea, Equatorial Guinea, Guinea-Bissau, Guyana, Haiti, Cambodia, Comoros, Kiribati, Lao PDR, St. Lucia, Liberia, Lesotho, Madagascar, Myanmar, Mauritius, Malawi, Mozambique, Rwanda, Seychelles, Sierra Leone, Senegal, Somalia, Sao Tome and Principe, Uganda, Zambia, Congo DR)** : Umbrella Programme for Biennial Update Report to the United National

¹ The Council Member representing the United States of America, in light of its policies for certain development projects, abstained from participating in the decision on the following projects: 1) **China** : *Upgrading of China SHP Capacity Project*, and 2) **Belarus** : *Belarus Forestry Development Project*; and likewise, opposes the following projects and therefore does not join the Council decision that would support: 1) **Global** : *GEF Small Grants Programme - Sixth Operational Phase (Part I)*, and 2) **Global (Afghanistan, Antigua And Barbuda, Angola, Burkina Faso, Bahrain, Dominica, Eritrea, Fiji, Gambia, Guinea, Equatorial Guinea, Guinea-Bissau, Guyana, Haiti, Cambodia, Comoros, Kiribati, Lao PDR, St. Lucia, Liberia, Lesotho, Madagascar, Myanmar, Mauritius, Malawi, Mozambique, Rwanda, Seychelles, Sierra Leone, Senegal, Somalia, Sao Tome and Principe, Uganda, Zambia, Congo DR)** : *Umbrella Programme for Biennial Update Report to the United National Framework Convention on Climate Change (UNFCCC)*.

Framework Convention on Climate Change (UNFCCC) (UNEP) (GEF Project Financing : \$12,936,000) (GEF ID 6925)

4. **China** : Upgrading of China SHP Capacity Project (UNIDO) (GEF Project Financing : \$8,925,000) (GEF ID 6919)
5. **Ukraine** : Finance and Technology Transfer Centre for Climate Change (FINTECC) (EBRD) (GEF Project Financing : \$7,000,000) (GEF ID 6942)

International Waters

6. **Regional (Azerbaijan, Georgia)** : Advancing IWRM Across the Kura River Basin through Implementation of the Transboundary Agreed Actions and National Plans (UNDP) (GEF Project Financing : \$5,329,452) (GEF ID 6962)
7. **Regional (Burkina Faso, Benin, Cote d'Ivoire, Ghana, Mali, Togo)** : Volta River Basin Strategic Action Programme Implementation Project (World Bank) (GEF Project Financing : \$7,200,000) (GEF ID 6964)
8. **Mexico** : Implementation of the Strategic Action Program of the Gulf of Mexico Large Marine Ecosystem (UNIDO) (GEF Project Financing : \$12,900,000) (GEF ID 6952)

Multi Focal Area

9. **Global** : GEF Small Grants Programme - Sixth Operational Phase (Part I) (UNDP) (GEF Project Financing : \$67,307,692) (GEF ID 6931)
10. **Regional (Indonesia, Timor Leste)** : Implementation of the Arafura and Timor Seas Regional and National Strategic Action Programs (UNDP) (GEF Project Financing : \$9,745,662) (GEF ID 6920)
11. **Regional (Marshall Islands, Solomon Islands, Tuvalu)** : Pacific Islands Regional Oceanscape Program (PROP) (World Bank) (GEF Project Financing : \$6,301,370) (GEF ID 6970)
12. **Azerbaijan** : Conservation and Sustainable Use of Globally Important Agro-biodiversity (UNDP) (GEF Project Financing : \$4,160,502) (GEF ID 6943)
13. **Belarus** : Belarus Forestry Development Project (World Bank) (GEF Project Financing : \$2,727,273) (GEF ID 6947)
14. **Lao PDR** : Sustainable Forest and Land Management in the Dry Dipterocarp Forest Ecosystems of Southern Lao PDR (UNDP) (GEF Project Financing : \$10,879,174) (GEF ID 6940)

15. **Tajikistan** : Conservation and Sustainable Use of Pamir Alay and Tian Shan Ecosystems for Snow Leopard Protection and Sustainable Community Livelihoods (UNDP) (GEF Project Financing : \$4,181,370) (GEF ID 6949)

22. With respect to the following projects, the Council requested the Secretariat to arrange for Council members to receive draft final project documents and to transmit to the CEO within four weeks any concerns they may have prior to the CEO's endorsing the project document for final approval by the GEF Agency:

1. **Tajikistan** : Conservation and Sustainable Use of Pamir Alay and Tian Shan Ecosystems for Snow Leopard Protection and Sustainable Community Livelihoods (UNDP)
2. **China** : Upgrading of China SHP Capacity Project (UNIDO)

23. With respect to the PIFs approved as part of the work program, the Council finds that each of these PIFs (i) is consistent with the Instrument and GEF Policies and procedures, and (ii) may be endorsed by the CEO for final approval by the GEF Agency, provided that the final project documents fully incorporate and address the Council's and the STAP reviewer's comments on the work program, and that the CEO confirms that with these revisions, the project is consistent with the Instrument and GEF policies and procedures.

24. With respect to any PIF approved in this work program, the final project document will be posted on the GEF website for information after CEO endorsement. If there are major changes to the project scope and approach after PIF approval, the final project document will be posted on the web for Council review for four weeks prior to CEO considering endorsement.

25. The detailed funding decisions taken by the Council during this 47th session of the work program is part of the associated Annex 1 appended to this Joint Summary of the Chairs.

26. Pursuant to the draft decision circulated by mail on June 05, 2014, concerning projects with GEF ID numbers 5366 and 5739, the Council decided to postpone their consideration to a future date.

Decision on Agenda Item 16

Report of the Selection and Review Committee

27. The Council, having reviewed document GEF/C.47/12, *Report of the Selection and Review Committee (SRC)*:

- (a) Takes note of the FY15 goals for the Director of the Independent Evaluation Office (IEO) and the GEF CEO and requests the SRC to present the performance evaluation of the IEO Director and the GEF CEO for the Council's review at its second meeting in 2015;
- (b) Agrees with the SRC's positive assessment of the GEF CEO's FY14 performance and the recommendation to provide the GEF CEO with a "4" rating on the World Bank's 5-point scale, with an associated merit-based salary increase of 5.6%. The

Council requests the SRC to communicate this decision to the World Bank Human Resource Department.

Decision on Agenda Item 17

Other Business

28. The Council confirmed that it will hold its spring 2016 meeting during the week of June 06, 2016.

CLOSURE OF THE MEETING

29. The meeting closed on October 30, 2014.

Global Environment Facility
Table 1: Record of GEF Trust Fund Resources Requested for Projects/Programs and Corporate Budget/Programs and Resulting Council Decisions
Council Meeting, October 28 - 29, 2014

GEF ID	Agency	Title	Country	Project Amounts Requested for Funding			Project Amounts Approved by Council				
				in US\$			in US\$				
				Project Financing Requested	Agency Fee Requested	Total Amount Requested	Project Financing Approved	Agency Fee Approved	Total Amount Approved	40% of Agency fee to be committed by Trustee	
<u>Chemicals and Waste</u>											
1	6966	UNDP	UPOPs Reduction through BAT/BEP and PPPbased Industry Chain Management in Secondary Copper Production Sector in China	China	12,600,000	1,134,000	13,734,000	12,600,000	1,134,000	13,734,000	453,600
2	6928	UNDP	Reducing UPOPs and Mercury Releases from Healthcare Waste Management, e-Waste Treatment, Scrap Processing and Biomass Burning	Colombia	5,600,000	532,000	6,132,000	5,600,000	532,000	6,132,000	212,800
Sub-total Chemicals and Waste					18,200,000	1,666,000	19,866,000	18,200,000	1,666,000	19,866,000	666,400

GEF ID	Agency	Title	Country	Project Amounts Requested for Funding			Project Amounts Approved by Council				
				in US\$			in US\$				
				Project Financing Requested	Agency Fee Requested	Total Amount Requested	Project Financing Approved	Agency Fee Approved	Total Amount Approved	40% of Agency fee to be committed by Trustee	
<u>Climate Change</u>											
3	6925	UNEP	Umbrella Programme for Biennial Update Report to the United National Framework Convention on Climate Change (UNFCCC)	Global (Afghanistan, Antigua And Barbuda, Angola, Burkina Faso, Bahrain, Dominica, Eritrea, Fiji, Gambia, Guinea, Equatorial Guinea, Guinea-Bissau, Guyana, Haiti, Cambodia, Kiribati, Comoros, Lao PDR, St. Lucia, Liberia, Lesotho, Madagascar, Myanmar, Mauritius, Malawi, Mozambique, Rwanda, Seychelles, Sierra Leone, Senegal, Somalia, Sao Tome and Principe, Uganda, Zambia, Congo DR)	12,936,000	1,164,240	14,100,240	12,936,000	1,164,240	14,100,240	465,696
4	6919	UNIDO	Upgrading of China SHP Capacity Project	China	8,925,000	847,875	9,772,875	8,925,000	847,875	9,772,875	339,150

					Project Amounts			Project Amounts			
					Requested for Funding			Approved by Council			
					in US\$			in US\$			
GEF ID	Agency	Title	Country		Project Financing Requested	Agency Fee Requested	Total Amount Requested	Project Financing Approved	Agency Fee Approved	Total Amount Approved	40% of Agency fee to be committed by Trustee
5	6942	EBRD	Finance and Technology Transfer Centre for Climate Change (FINTECC)	Ukraine	7,000,000	665,000	7,665,000	7,000,000	665,000	7,665,000	266,000
<u>Sub total for Climate Change</u>					28,861,000	2,677,115	31,538,115	28,861,000	2,677,115	31,538,115	1,070,846
<u>International Waters</u>											
6	6962	UNDP	Advancing IWRM Across the Kura River Basin through Implementation of the Transboundary Agreed Actions and National Plans	Regional (Azerbaijan, Georgia)	5,329,452	506,298	5,835,750	5,329,452	506,298	5,835,750	202,519
7	6964	World Bank	Volta River Basin Strategic Action Programme Implementation Project	Regional (Burkina Faso, Benin, Cote d'Ivoire, Ghana, Mali, Togo)	7,200,000	684,000	7,884,000	7,200,000	684,000	7,884,000	273,600

					Project Amounts			Project Amounts			
					Requested for Funding			Approved by Council			
					in US\$			in US\$			
GEF ID	Agency	Title	Country		Project Financing Requested	Agency Fee Requested	Total Amount Requested	Project Financing Approved	Agency Fee Approved	Total Amount Approved	40% of Agency fee to be committed by Trustee
8	6952	UNIDO	Implementation of the Strategic Action Program of the Gulf of Mexico Large Marine Ecosystem	Mexico	12,900,000	1,161,000	14,061,000	12,900,000	1,161,000	14,061,000	464,400
<u>Sub-total International Waters</u>					25,429,452	2,351,298	27,780,750	25,429,452	2,351,298	27,780,750	940,519
<u>Multi Focal Area</u>											
9	6931	UNDP	GEF Small Grants Programme - Sixth Operational Phase	Global	67,307,692	2,692,308	70,000,000	67,307,692	2,692,308	70,000,000	1,076,923
10	6920	UNDP	Implementation of the Arafura and Timor Seas Regional and National Strategic Action Programs	Regional (Indonesia, Timor Leste)	9,745,662	925,838	10,671,500	9,745,662	925,838	10,671,500	370,335
11	6970	World Bank	Pacific Islands Regional Oceanscape Program (PROP)	Regional (Marshall Islands, Solomon Islands, Tuvalu)	6,301,370	598,630	6,900,000	6,301,370	598,630	6,900,000	239,452

					Project Amounts			Project Amounts			
					Requested for Funding			Approved by Council			
GEF ID	Agency	Title	Country	in US\$			in US\$				
				Project Financing Requested	Agency Fee Requested	Total Amount Requested	Project Financing Approved	Agency Fee Approved	Total Amount Approved	40% of Agency fee to be committed by Trustee	
12	6943	UNDP	Conservation and Sustainable Use of Globally Important Agro-biodiversity	Azerbaijan	4,160,502	395,248	4,555,750	4,160,502	395,248	4,555,750	158,099
13	6947	World Bank	Forestry Development Project	Belarus	2,727,273	259,091	2,986,364	2,727,273	259,091	2,986,364	103,636
14	6940	UNDP	Sustainable Forest and Land Management in the Dry Dipterocarp Forest Ecosystems of Southern Lao PDR	Lao PDR	10,879,174	979,126	11,858,300	10,879,174	979,126	11,858,300	391,650
15	6949	UNDP	Conservation and Sustainable Use of Pamir Alay and Tian Shan Ecosystems for Snow Leopard Protection and Sustainable Community Livelihoods	Tajikistan	4,181,370	397,230	4,578,600	4,181,370	397,230	4,578,600	158,892
Sub-total Multi Focal Area					105,303,043	6,247,471	111,550,514	105,303,043	6,247,471	111,550,514	2,498,988
GRAND TOTAL					177,793,495	12,941,884	190,735,379	177,793,495	12,941,884	190,735,379	5,176,754

Global Environment Facility
Table 2: Record of GEF Trust Fund Resources Requested by Agency and Resulting Council Decisions
Council Meeting, October 28 - 29, 2014
Summary of Council Decisions

	GEFTF
Funding status as of 10/29/2014	\$ 221,486,410
Approvals by Council	\$ 213,735,379
Funding status after Council Meeting	\$ 7,751,031

<u>Agency</u>	<u>GEFTF Amounts Requested for Funding in US\$</u>			<u>GEFTF Amounts Approved by Council in US\$</u>			
	<u>Project Financing</u>	<u>Agency Fee</u>	<u>Total</u>	<u>Project Financing</u>	<u>Agency Fee</u>	<u>Total</u>	<u>40% of Agency fee to be committed by Trustee</u>
Project/programmatic approach funding							
ADB	-	-	-	-	-	-	-
AFDB	-	-	-	-	-	-	-
EBRD	7,000,000	665,000	7,665,000	7,000,000	665,000	7,665,000	266,000
FAO	-	-	-	-	-	-	-
IADB	-	-	-	-	-	-	-
IFAD	-		-	-		-	

<u>Agency</u>	GEFTF Amounts Requested for Funding in US\$			GEFTF Amounts Approved by Council in US\$			
	Project Financing	Agency Fee	Total	Project Financing	Agency Fee	Total	40% of Agency fee to be committed by Trustee
		-			-		-
UNDP	119,803,852	7,562,048	127,365,900	119,803,852	7,562,048	127,365,900	3,024,819
UNEP	12,936,000	1,164,240	14,100,240	12,936,000	1,164,240	14,100,240	465,696
UNIDO	21,825,000	2,008,875	23,833,875	21,825,000	2,008,875	23,833,875	803,550
World Bank	16,228,643	1,541,721	17,770,364	16,228,643	1,541,721	17,770,364	616,688
WWF-US	-	-	-	-	-	-	-
Sub-total project/programmatic approach funding	177,793,495	12,941,884	190,735,379	177,793,495	12,941,884	190,735,379	5,176,754

	GEFTF Amounts Requested for Funding in US\$			GEFTF Amounts Approved by Council in US\$			
	Corporate Budget	Corporate Programs	Total	Corporate Budget	Corporate Programs	Total	
Corporate budget/programs							
GEF Secretariat	-	23,000,000	23,000,000	-	23,000,000	23,000,000	Country Support Program
Independent Evaluation Office	-	-	-	-	-	-	
STAP	-	-	-	-	-	-	
Trustee	-	-	-	-	-	-	
Sub-total corporate budget/programs	-	23,000,000	23,000,000	-	23,000,000	23,000,000	
GRAND TOTAL	177,793,495	35,941,884	213,735,379	177,793,495	35,941,884	213,735,379	5,176,754