

Annex 1: List of Abbreviations

ADB	Asian Development Bank
AF	Adaptation Fund
AfDB	African Development Bank
AMAT	Adaptation Monitoring and Assessment Tool
CCA	Climate change adaptation
CI	Conservation International
COP	Conference of the Parties
DANIDA	Danish International Development Assistance
DBSA	Development Bank of Southern Africa
EBRD	European Bank for Reconstruction and Development
FAO	Food and Agricultural Organization of the United Nations
FSP	Full Size Project
GCF	Green Climate Fund
GEAP	Gender Equality Action Plan
GEB	Global environmental benefit
GEEW	Gender equality and the empowerment of women
GEF	Global Environment Facility
GEF IEO / IEO	Global Environment Facility's Independent Evaluation Office
IA	Implementing agent
IDB	Inter-American Development Bank
IFAD	International Fund for Agricultural Development
INC	Initial National Communication
IUCN	International Union for Conservation of Nature and Natural Resources
LDC	Least Developed Country
LDCF	Least Developed Countries Fund
LEG	Least Developed Countries Expert Group
MFA	Multi-Focal Area
MSP	Medium Size Project

MTF	Multi Trust Fund
NAP	National Adaptation Plan
NAPA	National Adaptation Programme of Action
ODA	Official development assistance
OPS	Overall Performance Study
PIF	Project Identification Form
PIR	Project Implementation Report
POP	Persistent Organic Pollutant
RBM	Results-based management
SCCF	Special Climate Change Fund
SNC	Second National Communication
SPA	Strategic Priority on Adaptation
TE	Terminal evaluation
TER	Terminal evaluation report
TNC	Third National Communication
UNDP	United Nations Development Programme
UNEP	United Nations Environment Programme
UNFCCC	United Nations Framework Convention on Climate Change
UNIDO	United Nations Industrial Development Organization
WWF-US	United States World Wildlife Fund

Annex 2: References

The GEF and LDCF/SCCF Council documents cited here (indicated with the designation “GEF/C.xx” and GEF/LDCF.SCCF.xx”) are available on the GEF Web site, www.thegef.org, under Documents/Council Documents. GEF Evaluation Office documents can be found on the GEF Evaluation Office Web site, www.gefeo.org, under Publications.

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Annex 3: Results Framework of the GEF Adaptation Program

The revised results framework of the GEF Adaptation Program is structured around three strategic objectives with associated outcomes and indicators. As of July 1, 2014, project and program proponents that seek funds from the LDCF and/or the SCCF for climate change adaptation will be requested to align their proposals with one or more of these strategic objectives.

Goal	Increase resilience to the adverse impacts of climate change in vulnerable developing countries, through both near- and long-term adaptation measures in affected sectors, areas and communities; leading to a reduction of expected socio-economic losses associated with climate change and variability.
Objective 1	Reduce the vulnerability of people, livelihoods, physical assets and natural systems to the adverse effects of climate change
<u>Indicator 1</u>	Number of direct beneficiaries
<i>Outcome 1.1</i>	<i>Vulnerability of physical assets and natural systems reduced</i>
<u>Indicator 2</u>	Type and extent of assets strengthened and/or better managed to withstand the effects of climate change
<i>Outcome 1.2</i>	<i>Livelihoods and sources of income of vulnerable populations diversified and strengthened</i>
<u>Indicator 3</u>	Population benefiting from the adoption of diversified, climate-resilient livelihood options
<i>Outcome 1.3</i>	<i>Climate-resilient technologies and practices adopted and scaled up</i>
<u>Indicator 4</u>	Extent of adoption of climate-resilient technologies/ practices
Objective 2	Strengthen institutional and technical capacities for effective climate change adaptation
<i>Outcome 2.1</i>	<i>Increased awareness of climate change impacts, vulnerability and adaptation</i>
<u>Indicator 5</u>	Public awareness activities carried out and population reached
<i>Outcome 2.2</i>	<i>Access to improved climate information and early-warning systems enhanced at regional, national, sub-national and local levels</i>
<u>Indicator 6</u>	Risk and vulnerability assessments, and other relevant scientific and technical assessments carried out and updated
<u>Indicator 7</u>	Number of people/ geographical area with access to improved climate information services
<u>Indicator 8</u>	Number of people/ geographical area with access to improved, climate-related early-warning information

Table continued

<i>Outcome 2.3</i>	<i>Institutional and technical capacities and human skills strengthened to identify, prioritize, implement, monitor and evaluate adaptation strategies and measures</i>
<u>Indicator 9</u>	Number of people trained to identify, prioritize, implement, monitor and evaluate adaptation strategies and measures
<u>Indicator 10</u>	Capacities of regional, national and sub-national institutions to identify, prioritize, implement, monitor and evaluate adaptation strategies and measures
Objective 3	Integrate climate change adaptation into relevant policies, plans and associated processes
<i>Outcome 3.1</i>	<i>Institutional arrangements to lead, coordinate and support the integration of climate change adaptation into relevant policies, plans and associated processes established and strengthened</i>
<u>Indicator 11</u>	Institutional arrangements to lead, coordinate and support the integration of climate change adaptation into relevant policies, plans and associated processes
<i>Outcome 3.2</i>	<i>Policies, plans and associated processes developed and strengthened to identify, prioritize and integrate adaptation strategies and measures</i>
<u>Indicator 12</u>	Regional, national and sector-wide policies, plans and processes developed and strengthened to identify, prioritize and integrate adaptation strategies and measures
<u>Indicator 13</u>	Sub-national plans and processes developed and strengthened to identify, prioritize and integrate adaptation strategies and measures
<i>Outcome 3.3</i>	<i>Systems and frameworks for the continuous monitoring, reporting and review of adaptation established and strengthened</i>
<u>Indicator 14</u>	Countries with systems and frameworks for the continuous monitoring, reporting and review of adaptation

Annex 4: GEF Core Gender Indicators

Outcomes	Gender Indicators	Source of Verification
Project design fully integrates gender concerns.	1. Percentage of projects that have conducted gender analysis during project preparation. 2. Percentage of projects that have incorporated gender responsive project results framework (e.g. gender responsive output, outcome, indicator, budget, etc).	Project Document at CEO endorsement
Project implementation ensures gender equitable participation in and benefit from project activities.	3. Share of women and men as direct beneficiaries of project. 4. Share of convention related national reports incorporated gender dimensions (e.g. NBSAP, NAPA/NAP, TDA/SAP, etc.).	Project Implementation Reports, Mid-Term Evaluation Reports, and Terminal Evaluation Reports.
Project monitoring and evaluation give adequate attention to gender mainstreaming.	5. Percentage of monitoring and evaluation reports (e.g. Project Implementation Reports, Mid-term Evaluation Reports, and Terminal Evaluation Reports) that incorporates gender equality/women's empowerment issues and assess results/progress.	Project Implementation Reports (PIR), Mid-Term Evaluation Reports, and Terminal Evaluation Reports (TER).

Annex 5: Overview of Completed NAPAs

	Country	GEF Agency	GEF ID	Approval date of NAPA preparation grant	Completion Date	Duration in days	Duration		
							in years	and months	
1	Afghanistan	UNEP	2530	1-May-04	1-Sep-09	1,949	5	4	*
2	Angola	UNEP	3409	1-Oct-07	1-Dec-11	1,522	4	2	*
3	Bangladesh	UNDP	2026	1-May-03	1-Nov-05	915	2	6	
				Updated version	1-Jun-09				#
4	Benin	UNDP	2461	1-Mar-04	1-Jan-08	1,401	3	10	
5	Bhutan	UNDP	2352	1-Oct-03	1-May-06	943	2	7	
6	Burkina Faso	UNDP	2156	1-Jul-03	1-Dec-07	1,614	4	5	
7	Burundi	UNDP	2466	1-May-04	1-Feb-07	1,006	2	9	
8	Cabo Verde	UNDP	2351	1-Oct-03	1-Dec-07	1,522	4	2	
9	Cambodia	UNDP	1869	1-Dec-02	1-Mar-07	1,551	4	3	
10	Central African Republic	UNEP	2425	1-Jan-04	1-Jun-08	1,613	4	5	
11	Chad	UNDP	2480	1-Mar-04	1-Jan-10	2,132	5	10	*
12	Comoros	UNEP	2049	1-Jun-03	1-Nov-06	1,249	3	5	
13	Democratic Republic of the Congo	UNDP	2409	1-Dec-03	1-Sep-06	1,005	2	9	
14	Djibouti	UNEP	2191	1-Aug-03	1-Oct-06	1,157	3	2	
15	Equatorial Guinea	UNDP	5191	1-Nov-12	1-Nov-13	365	1	0	*
16	Eritrea	UNDP	1959	1-Dec-02	1-May-07	1,612	4	5	
17	Ethiopia	UNDP	1960	1-Apr-03	1-Jun-08	1,888	5	2	
18	Gambia	UNEP	2050	1-Jul-03	1-Jan-08	1,645	4	6	
19	Guinea	UNDP	2362	1-Nov-03	1-Jul-07	1,338	3	8	
20	Guinea Bissau	UNDP	2524	1-May-04	1-Feb-08	1,371	3	9	
21	Haiti	UNEP	1948	1-Jan-03	1-Dec-06	1,430	3	11	
22	Kiribati	UNDP	2388	1-Oct-03	1-Jan-07	1,188	3	3	
23	Lao People's Democratic Republic	UNDP	2148	1-Jul-03	1-May-09	2,131	5	10	
24	Lesotho	UNEP	2013	1-Feb-03	1-Jun-07	1,581	4	4	
25	Liberia	UNEP	2414	1-Dec-03	1-Jul-08	1,674	4	7	
26	Madagascar	World Bank	2521	1-May-04	1-Dec-06	944	2	7	

Table continued

	Country	Implementing Agency	GEF ID	Approval date of NAPA preparation grant	Completion Date	Duration in days	Duration		
							in years	and months	
27	Malawi	UNDP	2027	1-Jun-03	1-Mar-06	1,004	2	9	
28	Maldives	UNDP	2353	1-Oct-03	1-Mar-08	1,613	4	5	
30	Mauritania	UNEP	1956	1-Jan-03	1-Nov-04	670	1	10	
31	Mozambique	UNDP	2029	1-Apr-03	1-Jul-08	1,918	5	3	
32	Myanmar	UNEP	3702	1-Jul-08	1-May-13	1,765	4	10	*
33	Nepal	UNDP	3412	1-Jan-08	1-Nov-10	1,035	2	10	*
34	Niger	UNDP	2481	1-Mar-04	1-Jul-06	852	2	4	
35	Rwanda	UNEP	2484	1-Jun-04	1-May-07	1,064	2	11	
36	Samoa	UNDP	1868	1-Dec-02	1-Dec-05	1,096	3	0	
37	São Tomé and Príncipe	World Bank	2464	1-Apr-04	1-Nov-07	1,309	3	7	
38	Senegal	UNEP	2085	1-Oct-03	1-Nov-06	1,127	3	1	
39	Sierra Leone	UNDP	2482	1-Apr-04	1-Jun-08	1,522	4	2	
40	Solomon Islands	UNDP	2814	1-Jun-05	1-Dec-08	1,279	3	6	
41	Somalia	UNDP	5007	1-Jul-12	1-Apr-13	274	0	9	*
	South Sudan	UNDP	5564	1-Aug-13	Ongoing				
42	Sudan	UNDP	2031	1-May-03	1-Jul-07	1,522	4	2	
43	Timor-Leste	UNDP	3464	1-Oct-07	1-Sep-11	1,431	3	11	*
44	Togo	UNDP	2465	1-Mar-04	1-Sep-09	2,010	5	6	*
45	Tuvalu	UNDP	1969	1-Feb-03	1-May-07	1,550	4	3	
46	Uganda	UNEP	2168	1-Aug-03	1-Dec-07	1,583	4	4	
47	United Republic of Tanzania	UNEP	1996	1-May-03	1-Sep-07	1,584	4	4	
48	Vanuatu	UNDP	1970	1-May-03	1-Dec-07	1,675	4	7	
49	Yemen	UNDP	1990	1-Jan-03	1-Apr-09	2,282	6	3	
50	Zambia	UNDP	2413	1-Dec-03	1-Oct-07	1,400	3	10	
Average duration according to the 2009 joint evaluation						1,397	3	10	
Average duration of the 9 new NAPAs						1,387	3	10	
Average duration of all NAPAs, with inclusion of the 9 new NAPAs						1,395	3	10	

* = New NAPA since the 2009 joint evaluation of the LDCF.

= Updated NAPA since the 2009 joint evaluation of the LDCF

Annex 6: Overview of National Communications of NAPA Countries

	Country	Initial National Communication	Second National Communication	Third National Communication	
1	Afghanistan	12 March 2013			*
2	Angola	6 February 2012			*
3	Bangladesh	12 November 2002	26 December 2012		
4	Benin	21 October 2002	23 November 2011		
5	Bhutan	13 November 2000	28 November 2011		
6	Burkina Faso	16 May 2002			
7	Burundi	23 November 2001	28 June 2010		
8	Cabo Verde	13 November 2000	21 October 2011		
9	Cambodia	8 October 2002			
10	Central African Republic	10 June 2003	5 February 2015		
11	Chad	29 October 2001	4 June 2013		*
12	Comoros	5 April 2003	24 June 2013		
13	Democratic Republic of the Congo	21 November 2000	28 November 2009		
14	Djibouti	2 June 2002	19 February 2014		
15	Equatorial Guinea				*
16	Eritrea	16 September 2002	10 August 2012		
17	Ethiopia	16 October 2001			
18	Gambia	6 October 2003	28 January 2013		
19	Guinea	28 October 2002			
20	Guinea Bissau	1 December 2005	29 October 2011		
21	Haiti	3 January 2002	7 October 2013		
22	Kiribati	30 October 1999	27 June 2013		
23	Lao People's Democratic Republic	2 November 2000	24 June 2013		
24	Lesotho	17 April 2000	20 November 2013		
25	Liberia	28 June 2013			
26	Madagascar	22 February 2004	7 December 2010		
27	Malawi	2 December 2003	9 May 2012		
28	Maldives	5 November 2001			

Table continued

	Country	Initial National Communication	Second National Communication	Third National Communication	
29	Mali	13 November 2000	4 May 2012		
30	Mauritania	30 July 2002	6 December 2008	27 October 2014	
31	Mozambique	2 June 2006			
32	Myanmar	26 December 2012			*
33	Nepal	1 September 2004			*
34	Niger	13 November 2000	9 December 2009		
35	Rwanda	6 September 2005	5 June 2012		
36	Samoa	30 October 1999	14 June 2010		
37	São Tomé and Príncipe	19 May 2005	3 October 2012		
38	Senegal	1 December 1997	16 September 2010		
39	Sierra Leone	8 January 2007	2 December 2012		
40	Solomon Islands	29 September 2004			
41	Somalia				*
42	Sudan	7 June 2003	14 November 2013		
43	Timor-Leste	11 October 2014			*
44	Togo	20 December 2001	15 September 2011		*
45	Tuvalu	30 October 1999			
46	Uganda	26 October 2002	2 December 2014		
47	United Republic of Tanzania	4 July 2003			
48	Vanuatu	30 October 1999			
49	Yemen	29 October 2001	6 June 2013		
50	Zambia	18 August 2004	16 November 2014		
Countries with 1st National Communications				48	
Countries with 1st and 2nd National Communications				32	
Countries with 1st, 2nd and 3rd National Communications				1	

Source: UNFCCC overview “Non-Annex I national communications”. Available at:

http://unfccc.int/national_reports/non-annex_i_natcom/items/2979.php

* = New country that completed a NAPA since the 2009 joint evaluation of the LDCF