STANDARD CPE EVALUATION MATRIX

Key question	Indicators/basic data	Sources of information	Methodology
Is GEF support effective …			
… in producing results at the project level and are these results sustainable?	Project outcomes and impacts	Project staffs and beneficiaries, national and local government representatives	Focus groups and individual interviews
		ROtI studies	ROtI methodology
	Existing ratings for project outcomes (i.e., self-ratings and independent ratings)	Project-related reviews (implementation reports, terminal evaluations, TE reviews, etc.)	Desk review, project review protocols
	Changes in global benefit indexes and other global environmental indicators	Evaluative evidence from projects and donors, Global Environmental Benefits Assessment	Literature review, meta analysis of evaluation reports
… in producing results at the aggregate level (portfolio and program) by focal area?	Aggregated outcomes and direct impact	Project staffs and beneficiaries, national and local government representatives	Focus groups and individual interviews
		ROtI studies	ROtI methodology
		Project-related reviews (implementation reports, terminal evaluations, TE reviews, etc.)	GEF Portfolio aggregate analysis
	Catalytic, up-scaling and replication effects: progress toward impact	Data from overall projects and other donors	Desk review
		ROtI studies	ROtI methodology
		Project staffs and beneficiaries, national and local government representatives	Focus groups and individual interviews
	Contribution by the GEF	Data from overall projects and other donors	Desk review
		ROtI studies	ROtI methodology
		Project staffs and beneficiaries, national and local government representatives	Focus groups and individual interviews
… in producing results at the country level?	Project outcomes and direct and long-term impact	Project-related documentation (project documents and logframes, implementation reports, terminal evaluations, TE reviews, etc.)	GEF portfolio aggregate analysis, desk review
	Aggregated outcomes and direct and long-term impact	Project staffs and beneficiaries, national and local government representatives	Field visits, focus groups and individual interviews
	Catalytic, up-scaling and replication effects: progress toward impact	Data from projects financed by other donors and or by the government. ROtI studies	Desk review, ROtI methodology
… in producing results related to the dissemination of lessons learned in GEF projects and with partners?	Project design, preparation and implementation have incorporated lessons from previous projects within and outside GEF	Project-related reviews (implementation reports, terminal evaluations, TE reviews, etc.), ROtI studies, project staffs and beneficiaries, national and local government representatives	Desk review, ROtI methodology, GEF portfolio and pipeline analysis
		NGO staffs, project staff and beneficiaries, national and local government representatives	Focus groups and individual interviews
Has GEF support led to progress toward impact over an extended period of time after completion?	Availability of financial and economic resources	Project-related reviews (implementation reports, terminal evaluations, TE reviews, etc.), NGO staffs, Project staffs and beneficiaries, national and local government representatives, ROtI studies	Desk review, focus groups and individual interviews, project review protocols, ROtI methodology, GEF portfolio analysis
	Stakeholders' ownership, social factors		
	Existence of a techical know how		
	Environmental risks		
	Existence of an institutional and legal framework	Country environmental legal framework	Literature review, timelines, historical causality, etc.

Key question	Indicators/basic data	Sources of information	Methodology
Is GEF support relevant to…			
… the country's sustainable development agenda and environmental priorities?	GEF support is within the country's sustainable development agenda and environmental priorities	Relevant country level sustainable development and environment policies, strategies and action plans	Desk review, GEF portfolio analysis by focal area, Agency, modality and project status (national)
		Project-related documentation (project document and logframe, implementation reports, terminal evaluations, TE reviews, etc.), PMIS, Agencies' project databases	
	Level of GEF funding compared to other ODA in the environmental sector	Available databases (international as WB, OECD, etc., and national, i.e. dept. of statistics, other)	
	GEF support has country ownership and is country based (i.e., project origin, design and implementation)	Government officials, agencies' staff, donors and civil society representatives	Stakeholder consultation (focus groups, individual interviews)
		Country environmental legal framework	Literature review, timelines, historical causality, etc.
… the country's development needs and challenges?	GEF supports development needs (i.e., income generating, capacity building) and reduces challenges	Relevant country level sustainable development and environment policies, strategies and action plans	Desk review, GEF portfolio analysis by focal area, Agency, modality and project status (national)
	The GEF's various types of modalities, projects and instruments are in coherence with country's needs and challenges	Project-related documentation (project document and logframe, implementation reports, terminal evaluations, TE reviews, etc.), PMIS, Agencies' project databases	
		Government officials, agencies' staff, donors and civil society representatives	Stakeholder consultation (focus groups, individual interviews)
		Country environmental legal framework	Literature review, timelines, historical causality, etc.
… national GEF focal area action plans?	GEF support linked to the national environmental action plan (NEAP); national communications to UNFCCC; national POPs; National Capacity Self-Assessment (NCSA); adaptation to climate change (NAPA), etc.	GEF-supported enabling activities and products (NCSA, NEAP, NAPA, national communications to UN Conventions, etc.)	Desk review
			Stakeholder consultation (focus groups, individual interviews)
… the objectives linked to the different global environmental benefits in biodiversity, greenhouse gasses, international waters, land degradation, and chemicals focal areas?	Project outcomes and impacts are related to the RAF and STAR Global Benefit Index (for biodiversity and climate change and land degradation) and to other global indicators for POPs and international waters	National Conventions action plans, RAF, STAR, BD scorecard, etc.	Desk review, project field visits, project review protocols
			Literature review, timelines, historical causality, etc.
	GEF support is linked to national commitments to Conventions	Project-related documentation (project document and logframe, implementation reports, terminal evaluations, TE reviews, etc.), PMIS, Agencies' project databases	GEF portfolio analysis by focal area, Agency, modality and project status (national)
		Government officials, agencies' staff, donors and civil society representatives	Stakeholder consultation (focus groups, individual interviews)
		Global Environmental Benefits Assessment	Literature review
Are the GEF and its agencies supporting environmental and sustainable development prioritization, country ownership and decision-making process of the country?	GEF Agencies' support to national environment and sustainable development prioritization, country ownership and country decision-making process	GEF Secretariat staff and technical staff from GEF Agencies	Stakeholder consultation (focus groups, individual interviews)
		Government officials, agencies' staff, donors and civil society representatives	
		GEF Instrument, Council decisions, focal area strategies, GEF4 programming strategy, GEF Agencies' country strategies and plans	Desk review, GEF portfolio analysis by focal area, Agency, modality and project status (national)
		Project-related documentation (project document and logframe, implementation reports, terminal evaluations, TE reviews, etc.), PMIS, Agencies' project databases	

Key question	Indicators/basic data	Sources of information	Methodology
Is GEF support efficient?			
How much time, effort and financial resources does it take to formulate and implement a project, by type of GEF support modality?	Process indicators: processing timing (according to project cycle steps), preparation and implementation cost by type of modalities, etc.	Project-related documentation (project documents and logframes, implementation reports, terminal evaluations, TE reviews, etc.), PMIS, Agencies project databases, RAF pipeline	Desk review, GEF portfolio analysis, timelines
	Projects drop-outs and cancellations	GEF Secretariat and Agencies' staff and government officials	Interviews, field visits, project review protocols
	GEF vs. cofinancing	National and local government officials, donors, NGOs, beneficiaries	
What are the roles, types of engagement and coordination among different stakeholders in project implementation?	Level of participation	Project-related reviews (implementation reports, terminal evaluations, TE reviews, etc.)	Desk review and meta analysis of evaluation reports, interviews and field visits
	Roles and responsibilities of GEF actors	Project staff, government officials	
	Coordination between GEF projects		
	Existence of a national coordination mechanism for GEF support	GEF Secretariat staff and technical staff from GEF Agencies	Interviews, field visits, institutional analysis
Are there synergies among GEF agencies in GEF programming and implementation?	Acknowledgement between GEF Agencies of each other's projects	Project-related reviews (implementation reports, terminal evaluations, TE reviews, etc.)	Desk review and meta analysis of evaluation reports, interviews and field visits
	Effective communication and technical support between GEF project agencies and organizations	GEF Agency staff, national executing agencies (NGOs, other)	
Are there synergies between national institutions for GEF support in programming and implementation?	Acknowledgement between institutions of each other's projects	Project-related reviews (implementation reports, terminal evaluations, TE reviews, etc.)	Desk review and meta analysis of evaluation reports, interviews and field visits
	Effective communication and technical support between national institutions	Project staff, national and local government officials	
Are there synergies between GEF support and other donors' support?	Acknowledgement between institutions of each other's projects	Project-related reviews (implementation reports, terminal evaluations, TE reviews, etc.)	Desk review, focus groups and individual interviews, and field visits
	Effective communication and technical support between institutions	NGO staffs and donors' representatives	
	Complementarity of GEF support	Evaluations of other donors' funded projects	Meta analysis fo evaluation reports
What role does Monitoring and Evaluation (M&E) play in increasing project adaptive management and overall efficiency?	Quality of M&E inputs	Project-related reviews (implementation reports, mid-term evaluations, terminal evaluations, TE reviews, etc.)	Desk review
	Quality and level of adaptive management applied to projects and programs	GEF Secretariat and Agencies' staff and government officials	Stakeholder consultations (focus groups and individual interviews)
	Level of independence, quality and timeliness of external evaluations	National and local government officials, donors, NGOs, beneficiaries	Field visits
	Projects and programs compliance woth GEF and GEF Agency M&E policies	Evaluations of other donors' funded projects	Meta analysis fo evaluation reports