

Operation Name: Rural Productivity Project (GEF) (P083045)			Project Stage: Implementation	Seq.No: 10	Status: ARCHIVED
Team Leader: Gerardo Segura Warnholtz	Requesting Unit: LCC2C	Created by: Teresa M. Roncal on 04-Jun-2011			
Product Line: Global Environment Project	Responsible Unit: LCSAR	Modified by: Gerardo Segura Warnholtz on 16-Jun-2011			
Country: Panama	Approval FY: 2006				
Region: LATIN AMERICA AND CARIBBEAN	Lending Instrument: Specific Investment Loan				

Overview

Global Environmental Objectives

Global Environmental Objective (from Project Appraisal Document)

The Project Development Objective of the partially-blended Rural Productivity and Sustainable Development Project is to contribute to increased income and employment of small-scale rural producers in Panama.

The Global Environmental Objective is to conserve biodiversity of global importance and protect important forest, mountain and marine-coastal ecosystems in Panama.

Summary Ratings

	Previous Rating	Current Rating
Progress towards achievement of GEO	Satisfactory	Satisfactory
Overall Implementation Progress (IP)	Satisfactory	Satisfactory
Overall Risk Rating		

Key Dates

Board Approval Date	15-Jun-2006	Original Closing Date	28-Jun-2013	Planned Mid Term Review Date	01-Jun-2011	Last Archived ISR Date	25-Dec-2010
Effectiveness Date	18-Jan-2007	Revised Closing Date	28-Jun-2013	Actual Mid Term Review Date	01-Jun-2011	Mission/Site Visit Date	29-Mar-2011

Restructuring History

There has been no restructuring to date.

Related Project(s)

Management & Key Decisions

Project Status for Public Disclosure

Implementation Status Overview

Project implementation is now resumed after a pause of six months. Significant progress has been made since the last brief implementation support mission in March 2011. As part of the commitments agreed during this mission, ANAM is reviewing the Indigenous Peoples Plan (IPDP) and has agreed to strengthen the Project Implementation Unit to supervise the execution of this Plan. ANAM also concluded its mid-term evaluation report in preparation for the Mid-Term Review mission.

Key Decisions for Disclosure

The Mid-Term Review mission took place on June 1-15, 2011.

Key Issues and Actions for Management Attention

Issues for Management Attention

Project implementation is now resumed after a pause of six months. Significant progress has been made since the last brief implementation support mission in March 2011. As part of the commitments agreed during this mission, ANAM is reviewing the Indigenous Peoples Plan (IPDP) and has agreed to strengthen the Project Implementation Unit to supervise the execution of this Plan. ANAM has also concluded its mid-term evaluation report in preparation for the Mid-Term Review mission.

The Mid-Term Review mission took place on June 1-15, 2011. Indicators and ratings have been kept the same as in the previous ISR, and will be changed in the next ISR to reflect the findings of the MTR.

Actions	Responsibility	Date	Status
A new ISR will be prepared during the week of 20-24 of June, 2011 to reflect the findings of the Mid-Term Review Mission.	Bank		Not Yet Due

Midterm Review and Missions

Mission/Site Visit Date	Title	Start Date	End Date	Participants	Location
29-Mar-2011		29-Mar-2011			

Comments

Brief visit to meet with Minister of ANAM and agreed on an action plan to hire the Project Coordinator and the vacant administrative staff positions to resume project implementation activities.

Mid Term Review

Planned Date	Comments
01-Jun-2011	
Actual Date	Comments
01-Jun-2011	

Compliance

Safeguards

Environmental Assessment Category (EA)	Environmental Assessment Date		
Partial Assessment	31-May-2003		
Safeguards	Previous Rating	Current Rating	Comments
Overall Safeguard Compliance	Moderately Satisfactory	Moderately Satisfactory	
Environmental Assessment (OP) (BP 4.01)	Satisfactory	Satisfactory	
Natural Habitats (OP) (BP 4.04)	Satisfactory	Satisfactory	
Forests (OP) (BP 4.36)	Satisfactory	Satisfactory	
Pest Management (OP 4.09)	Moderately Satisfactory	Moderately Satisfactory	

Safeguards	Previous Rating	Current Rating	Comments
Physical Cultural Resources (OP) (BP 4.11)	Satisfactory	Satisfactory	
Indigenous Peoples (OP) (BP 4.10)	Moderately Satisfactory	Moderately Satisfactory	
Involuntary Resettlement (OP) (BP 4.12)	Moderately Satisfactory	Moderately Satisfactory	

Legal Covenants

Loan Id	Finance Agreement Reference	Description of Covenants	Date Due	Status	Recurrent
TF-56628	Schedule 2. Section I.B.	Operational Manual adopted by ANAM and approved by the Bank.	24-Apr-2006	Complied with	
TF-56628	Schedule 2. Section I.C.	Enter into an Agreement with a Financial Agent for the administration of project funds	20-Aug-2007	Complied with	
TF-56628	Schedule 2. Section II.B.3	Audit report to be submitted to the Bank by June 30 each year.		Complied with	<input checked="" type="checkbox"/>

Comments

No comments have been entered.

Audit Reports

Report Type	Reports Overdue		Reports Received	
	1-3 months	> 3 months	Qualified	Accountability Issues
Financial Statements-Continuing Entity	-	-	-	-
Financial Statements-PIU	N	N	N	N
Special Opinions	-	-	-	-

Comments

No comments have been entered.

Performance

Ratings

Rating	Previous Rating	Current Rating	Comments
Progress towards achievement of GEO	Satisfactory	Satisfactory	
Overall Implementation Progress (IP)	Satisfactory	Satisfactory	
Financial Management	Moderately Unsatisfactory	Moderately Unsatisfactory	
Project Management	Moderately Unsatisfactory	Moderately Unsatisfactory	
Counterpart Funding	Satisfactory	Satisfactory	
Procurement	Satisfactory	Satisfactory	
Monitoring and Evaluation	Satisfactory	Satisfactory	

Rating	Previous Rating	Current Rating	Comments
Public Involvement	Satisfactory	Satisfactory	
Government Commitment	Satisfactory	Satisfactory	

Portfolio Flags

Flags				
Project Effectiveness Delay	Project Disbursement Delay	Country Environment	Country Record	Long Term Risk

Financing (as of 12-May-2011)

Key Dates

Project	Loan No.	Status	Approval Date	Signing Date	Effectiveness Date	Closing Date
P083045	TF-56628	Effective	15-Jun-2006	23-Jun-2006	18-Jan-2007	28-Jun-2013

Disbursements (in Millions)

Project	Loan No.	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	% Disbursed
P083045	TF-56628	Effective	USD	6.00	6.00	0.00	2.60	3.40	43.00

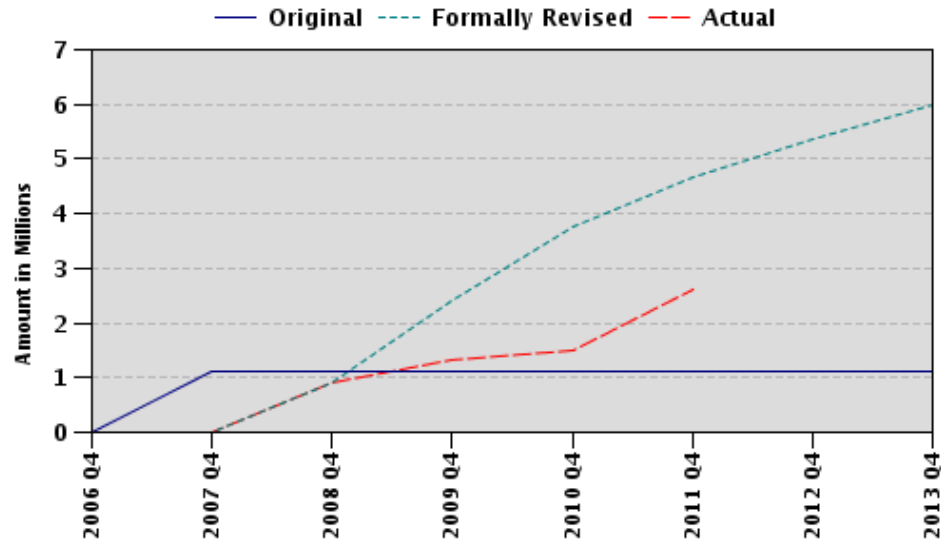
Disbursement Lag

Original Disbursement Lag	-136.10% or 0.00 Months
Formally Revised Disbursement Lag	44.15% or 17.00 Months
Disbursement Estimates last formally revised on	24-Jun-2008

Reason for Formal Revisions

Long delay of effectiveness

Disbursement Graph



Component(s)

COMMUNITY INVESTMENTS IN ENVIRONMENTAL RESOURCES

Basic Information

Component	Previous Rating	Current Rating	Cost (USD Millions)
COMMUNITY INVESTMENTS IN ENVIRONMENTAL RESOURCES	Satisfactory	Satisfactory	12.40

Comments

No comments have been entered.

No results indicators have been linked, No mitigation measures have been linked, No issues have been entered, No actions have been entered

MANAGEMENT OF NATURAL RESOURCES AND STRENGTHENING OF SINAP

Basic Information

Component	Previous Rating	Current Rating	Cost (USD Millions)
MANAGEMENT OF NATURAL RESOURCES AND STRENGTHENING OF SINAP	Moderately Satisfactory	Moderately Satisfactory	2.90

Comments

No comments have been entered.

No results indicators have been linked, No mitigation measures have been linked, No issues have been entered, No actions have been entered

MONITORING, EVALUATION, AND PROJECT MANAGEMENT

Basic Information

Component	Previous Rating	Current Rating	Cost (USD Millions)
MONITORING, EVALUATION, AND PROJECT MANAGEMENT	Satisfactory	Satisfactory	2.80

Comments

In view of the latest event with the suspension of project activities and the potential changes of the PIU staff, the rating will be carefully reconsidered in the next supervision mission,

No results indicators have been linked, No mitigation measures have been linked, No issues have been entered, No actions have been entered

Team

Team Composition

Bank Staff

Name	Title	Specialization	Unit	Office Phone	Location
Teresa M. Roncal	Operations Analyst	Costs, Bank's operations	LCSAR	473-0751	Washington, DC
Diana P. Rebolledo	Language Program Assistant	Bank's operations	LCSAR	473-9205	Washington, DC
Mary Lisbeth Gonzalez	Senior Social Development Specialist	Social	LCSO	458-8894	Washington, DC
Solange A. Alliali	Senior Counsel	Legal	LEGES	458-9542	Washington, DC
Gunars H. Platais	Senior Environmental Economist	Senior Environmental Economist	LCSN	458-0538	Washington, DC
Francis V. Fragano	Senior Environmental Specialist	Environment	LCSDE	473-5581	Washington, DC
Nabil M. Chaherli	Sr Sector Economist	Rural economist	LCSAR	473-3681	Washington, DC
Gerardo Segura Warnholtz	Senior Rural Development Specialist	Task management	LCSAR	473-8983	Washington, DC
Alexandre Borges de Oliveira	Senior Procurement Specialist	Procurement	LCSPT	473-4001	Washington, DC
Fabienne Mroczka	Financial Management Specialist	Financial Management	LCSFM	473-4560	Washington, DC
Jose Simon Rezk	Financial Management Specialist	Financial Management Specialist	LCSFM	5768+236 / 5	Tegucigalpa, Honduras
Monica Salazar-Greenwood	Junior Professional Associate	Junior Professional Associate	LCSAR	473-8345	Washington, DC

Non-Bank Staff

Name	Title	Office Phone	City

Organizations

Organization Name	Type	City	Website	Office Phone
Autoridad Nacional del Ambiente	Implementing Agency	Panama	cbmap.org	232-6601

Results

Project Location

Country	Location	Weight	Notes

Global Environmental Objective Indicators

Indicator	Baseline	Current	End Target
<p>Indicator Name Local and national institutional capacity is improved, as measured by the WWF/Bank Management Effectiveness (GEF BD SP 1) Tracking Tool to manage 14 protected areas (675,775 ha)</p> <p>Type Unit of Measure Custom Indicator Text</p>	<p>Value Baseline data for each Protected Area. Date 15-Jun-2006 Comment</p>	<p>Value 4 protected areas have improved their ratings since the last evaluation Date 17-Nov-2010 Comment</p>	<p>Value 14 protected areas (675,775 ha) with ratings improved. Date 30-Mar-2012 Comment</p>
<p>Indicator Name At least 50,000 ha of forests and other natural ecosystems of global biodiversity significance in buffer zones and corridors under effective conservation (protection and sustainable management)</p> <p>Type Unit of Measure Custom Indicator Text</p>	<p>Value 1472 hectares. Date 15-Jun-2006 Comment</p>	<p>Value 30,000 ha Date 17-Nov-2010 Comment The project has surpassed the goal for this year of the project.</p>	<p>Value 50,000 ha. Date 30-Mar-2012 Comment</p>
<p>Indicator Name</p>	<p>Value</p>	<p>Value</p>	<p>Value</p>

Indicator	Baseline	Current	End Target
Biodiversity of global significance is under effective conservation, as measured by vegetation cover and indicator species of conservation interest.	To be determined during FY 09	Ecosystem map updated and baselines for land use change defined.	TBD based on site specific information.
Type	Date	Date	Date
Unit of Measure	15-Jun-2006	Monitoring of habitat quality in representative areas (e.g. Rio Changuinola, R Tonosi) under way. Census of Manaties in Rio San San concluded. Training for management and M&E of populations of endangered marine turtles concluded.	30-Mar-2012
Custom Indicator	Comment	Date	Comment
		17-Nov-2010	
		Comment	

Indicator	Baseline	Current	End Target
Indicator Name	Value	Value	Value
60% of districts in the project area have incorporated biodiversity aspects into sector policies and plans and adopted appropriate regulations and implementation plans accordingly.	None.	Progers to be evaluated at MTR.	60% of districts.
Type	Date	Date	Date
Unit of Measure	15-Jun-2006	17-Nov-2010	30-Mar-2012
Custom Indicator	Comment	Comment	Comment

Intermediate Results Indicators

Indicator	Baseline	Current	End Target
Indicator Name	Value	Value	Value
Community associations and rural producer associations implement at least 450 natural resources, sustainable agriculture and conservation subprojects in the project area.	None	A total of 136 subprojects approved and under implementation. An additional 93 subprojects are currently under evaluation for funding in 2011	450
Type	Date	Date	Date
Unit of Measure	15-Jun-2006	17-Nov-2010	30-Mar-2012
Custom Indicator	Comment	Comment	Comment
		Environmental investment fund to be evaluated at MTR.	

Indicator	Baseline	Current	End Target
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Indicator	Baseline	Current	End Target
<p>Indicator Name Protected area co-management agreements under implementation increases by at least 100%.</p> <p>Type Unit of Measure Custom Indicator Text</p>	<p>Value 13 protected areas with co-management agreements signed.</p> <p>Date 15-Jun-2006</p> <p>Comment</p>	<p>Value New strategy and resolution for the implementation of co-management agreements officially approved. National Committee for Co-management of PAs created. Diagnostic study to identify co-management opportunities underway. 3 co-management projects identified and underway.</p> <p>Date 25-Jun-2010</p> <p>Comment Viability of co-management strategy to be evaluated during MTR.</p>	<p>Value 100% increase.</p> <p>Date 30-Mar-2012</p> <p>Comment</p>
<p>Indicator Name 28 district-level CCAs and at least two UAMs established, trained and operating with financial plans.</p> <p>Type Unit of Measure Custom Indicator Text</p>	<p>Value 18 CCAs and 0 UAMs.</p> <p>Date 15-Jun-2006</p> <p>Comment</p>	<p>Value New decentralization strategy being discussed by the new administration. Time table and methodology established to create and strengthen CCAs and UAMs. One Comarcal (Ngobe Bugle), and 5 provincial CCAs established.</p> <p>Date 17-Nov-2010</p> <p>Comment Limited impact of CCAs and UAMs in supporting management and conservation of PAs. This indicator will be revised and probably modified during the MTR.</p>	<p>Value 28 CCAs and 2 UAMs.</p> <p>Date 30-Mar-2012</p> <p>Comment</p>
Indicator	Baseline	Current	End Target
Indicator Name	Value	Value	Value

Indicator	Baseline	Current	End Target
<p>PES program piloted in two project sites and replication strategy developed.</p> <p>Type: Custom Indicator Unit of Measure: Text</p>	<p>None</p> <p>Date: 15-Jun-2006</p> <p>Comment:</p>	<p>Limited progress achieved for this indicator. No institutional decision made regarding the approach the GOP wants to follow regarding PAS (e.g. national or project-based). One pilot project identified.</p> <p>Date: 17-Nov-2010</p> <p>Comment: Indicator to be re-evaluated, and probably modified during MTR.</p>	<p>Two PES projects</p> <p>Date: 30-Mar-2012</p> <p>Comment:</p>
<p>Indicator Name: By end of year three, monitoring systems developed by the project are incorporated into national (SINIA) and other international systems.</p> <p>Type: Custom Indicator Unit of Measure: Text</p>	<p>Value: None.</p> <p>Date: 15-Jun-2006</p> <p>Comment:</p>	<p>Value: SMAP syste designed and under implementation. Indicator species for the SNMDB identified and monitoring initiated.</p> <p>Date: 17-Nov-2010</p> <p>Comment: Incorporation of these systems into SINIA and IABIN is still pending. This indicator will also be evaluated in detail during MTR, and probably modified.</p>	<p>Value: Monitoring Systems incorporated into SINIA.</p> <p>Date: 30-Mar-2012</p> <p>Comment:</p>

Risks

Risk Categories	Risk Rating	Rating Explanation	Risk Description(s)	Proposed Mitigation Measure(s)	Status	Due Date	Responsibility
1. Project Stakeholder Risks							
1.1 Stakeholder Risk							
2. Operating Environment Risks							

2.1 Country Risk

2.2 Institutional Risk (Sector and Multi-Sector Risk)

3. Implementing Agency (IA) Risks (including FM and PR risks)

3. Overall Implementing Agency Risk

3.1 Capacity Risk

3.2 Governance Risk

3.3 Fraud and Corruption

4. Project Risks

4.1 Design Risk

4.2 Social and Environmental Risk

4.3 Program and Donor Risk

4.4 Delivery Quality Risk

4.5 Other Risk (Optional)

**4.6 Other Risk
(Optional)**

5. Overall Risk

5.1 Overall Risk

Clearance History

Person	Action	Date
Ethel Sennhauser (SM)	Control point approved	16-Jun-2011
Thanks Gerardo. Pls submit a new ISR next week as soon as the mission is over.		
Gerardo Segura Warnholtz	Control point submitted for approval	16-Jun-2011