

World Bank - Grant Reporting and Monitoring (GRM) Report

FOR OFFICIAL USE ONLY

Ref. TF091780
Printed On: 11/21/2011

Reporting Period: 07/01/2009 to 06/30/2010
Report Type: Progress Report
Report Status: Approved

Assignment: TF091780

GEF MSP-GLOBAL INDIGENOUS PEOPLES ACCESS TO FUNDING GEFIA - GEF-IBRD AS IMPLEMENTING AGENCY

Task Team Leader: 00000057908 - Claudia Sobrevila
Approving Manager: 00000257026 - James Warren Evans

Summary Information	
TF Status	ACTV
Recipient Country	World
Executed By	Recipient
Managing Unit	585 - ENV
Grant Start Date / Closing Date	03/28/2008 to 10/28/2010
Program Manager	
Original Grant Amount	250,000.00
Grant Amount	250,000.00
Outstanding Commitments	0.00
Cumulative Disbursements	216,360.48 as of 06/30/2010
Disbursed 07/01/2009 to 06/30/2010	95,119.31
Donor	TF602001 - MULTIPLE DONORS

This GRM report includes the following sections: Overview, Outcome, Components/Outputs, Execution, Program(GEFIA), Processing, Attached Documents, Disbursements.

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OVERVIEW

Overall Assessments and Ratings

Grant Objectives:

The overall objective of the Project is to increase access of indigenous peoples to conservation funding with a view to improving their capacity in conserving their homelands and ultimately contribute to biodiversity conservation, in support of GEF-4 Biodiversity Objective 4: Catalyzing Sustainability or Protected Area Systems. More specifically, the objectives of the Grant are to:

- (i) Expand and strengthen the relationship between bio-conservation funders and indigenous peoples;
- (ii) Promote knowledge on indigenous peoples' best practices in stewardship programs;
- and
- (iii) Build capacity at the local level to advance indigenous peoples' conservation programs.

Overall progress from 07/01/2009 to 06/30/2010 with regard to Achieving Grant Objectives:

Rating: Satisfactory (Previously Rated Satisfactory on 06/30/2009)

Comment:

The project has achieved its objective. The first objective was to expand and strengthen the relationship between bioconservation funders and indigenous peoples. Through successful interactions with donors, that also participated in the round tables, IPs gained better trust with donors, and established partnerships that will be essential for sustainable conservation of biodiversity. The second objective was to promote knowledge on indigenous peoples best practices in stewardship programs. Through the four workshops held, practitioners and Indigenous peoples were able to meet, network and establish communities of practice. First Peoples Worldwide ended each roundtable with an Empowerment Funding project. Roundtable participants were granted anywhere from \$15,000-\$25,000 and given the decision-making power to distribute the funds amongst themselves for activities related to improving access to donor funding. Most of the participants decided to split the funds evenly, allowing them to build relationships and trust for future collaboration. The third objective was to build capacity at the local level to advance indigenous people's conservation programs. IPs that participated in the workshops gained knowledge on how to improve grant applications which will increase their chances of applying and receiving grants. This will lower the costs of biodiversity conservation, as IPs are documented to conserve better and are more cost-effective than other outsider NGOS.

Overall progress from 07/01/2009 to 06/30/2010 with regard to Implementation of Grant Financed Activities:

Rating: Satisfactory (Previously Rated Satisfactory on 06/30/2009)

Comment:

The project has carried out the main activities planned. The client conducted all research, and completed all four roundtables organized in Africa, Latin America, Pacific and Southeast Asia and Asia. The client's final report included a set of practical guidelines to assist multilateral and bilateral foundations, and NGOs, particularly from developing countries, to use criteria that better meet their targets for funding Indigenous Peoples and to ensure true Indigenous participation in the design of conservation programs. The report also included an expanded knowledge base on funding availability and capacity building of Indigenous Peoples in biodiversity conservation projects and programs. Furthermore, the client produced a database of available conservation funding for IPs, which will be instrumental in determining appropriate funding options. All products delivered were of high quality.

Indigenous Peoples groups were connected regionally, and established networks of practice to be maintained through a discussion group on internet via the Indigenous Peoples Worldwide web site, a listserv, and sharing of information.

Grant follow-up and structure

Description and context of Grant:

The World Bank approved a revised Indigenous Peoples Policy (OP. 4.10) in July 2006. This policy is in effect in every country where the Bank makes investments. FPW project targeted Indigenous Peoples by including them in a consultation process to increase their capacity to access funding for biodiversity conservation. While this project is indirectly aligned with national

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priorities and programs, the project addressed the need to improve national priorities and programs and decrease past conflicts that came from a top-down imposed development approach, reducing social costs and inefficiencies. The project raised awareness and capacity of IPs to participate in conservation planning and access funding.

Expected follow up (if any): Dissemination of new knowledge/technology/best practice

Comment on follow up:

The client created a guidebook entitled #A Call to Action: Improving Indigenous Peoples# Access to Conservation Funding# based on information gathered from research and consultations. The guidebook offers IPs practical advice for improving access to conservation funding. The Task manager recommends the Environment Department in jointly publishing this guidebook. The client is in agreement with this suggestion.

End Date of Last Site Visit:

Restructuring of Grant:

There was no restructuring of the grant

Activity Risk

Rating: Negligible or Low Risk

Comment:

This project is a small grant and is about consultation with indigenous groups. It was not classified for its rating. Therefore, the rating is negligible.

Critical Issues and Pending Actions for Management Attention

There are currently no issues and actions for Management attention.

OUTCOME

Comments on outcome achieved from 07/01/2009 to 06/30/2010

#A list of concrete recommendations for improving Indigenous access to international conservation funding was set as the first indicator of success.- The client created a guidebook entitled #A Call to Action: Improving Indigenous Peoples# Access to Conservation Funding# based on information gathered from research and consultations. The guidebook offers IPs practical advice for improving access to conservation funding.

#A list of equivalent requirements for the conservation funding application process, reporting, and key indicators of program progress was also set as an indicator.- The client provided an exit report complete with the above mentioned components, and recommendations for simplifying and improving the grant process for IPs. The client also compiled a database of all available conservation funding and the requirements for each grant, which is beneficial for IPs in searching for grant possibilities.

#At least 25 Indigenous communities represented at each of 4 regional consultations to discuss access to conservation funding was also an indicator when the project was prepared.- At each of the four roundtables, anywhere between 25 and 32 communities were represented in discussions.

#An active network of Indigenous Practitioners who participate in First Peoples discussion group on Indigenous Stewardship was set as the fourth and final indicator.- This was clearly represented by the enthusiasm of attendees in the roundtables and the establishment of long-term informal networks. First Peoples Worldwide also agreed to maintain a discussion group on internet via the Indigenous Peoples Worldwide web site, a listserv, and to continue the sharing of information.

Grant Outcome Indicators

No Outcome indicators are defined or used for this grant.

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COMPONENTS/OUTPUTS

Output and Implementation by Component

Component 1: Consultation and Guideline Development for Conservation Funders

Implementation Rating: Satisfactory
Status: Completed
Planned Output: A list of concrete recommendations for improving Indigenous access
Actual Output: The client created a guidebook called a Call for Action that has been filed

Component 2: Information Sharing, Dissemination and Capacity Building

Implementation Rating: Satisfactory
Status: Completed
Planned Output: An active network of Indigenous Practitioners who participate in First Peoples discussion group on Indigenous Stewardship
Actual Output: At each of the four roundtables, anywhere between 25 and 32 communities were present and initiated a web discussion group

Comment on planned and actual Output

Comment on component implementation progress

EXECUTION

Bank project related to the grant

Project ID / Name: P105907 - 1W-First Stewards Fund GEF (FY07)
Project Status: Lending
Global Focal Area: Biodiversity
Product Line: GM - GEF Medium Sized Program

Implementing agency and contact details

Agency: First Peoples Worldwide
Contact: Rebecca Adamson
Address: 3307 Bourbon St Fredericksburg, VA 22408
Phone: 540 8996545 **Email:**
Website:

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Implementation performance ratings from 07/01/2009 to 06/30/2010 with regard to:

Project Management: Highly Satisfactory (Previously Rated Satisfactory on 06/30/2009)

Brief Comment:

Project carried out the main activities planned and client conducted research, completed four roundtables in Africa, Latin America, Pacific and Southeast Asia and Asia & delivered final report with guidelines

Financial Management: Satisfactory (Previously Rated Satisfactory on 06/30/2009)

Brief Comment:

None

Counterpart Funding: Satisfactory (Previously Rated Satisfactory on 06/30/2009)

Brief Comment:

The client made a serious effort to raise and provide counterpart funding. The report mentions that FWP provided \$ 82,500 for the Empowerment grants. The TTL is also trying to get a final figure on the other counterpart funds provided to the grant.

Procurement: Not Applicable (Previously Rated Satisfactory on 06/30/2009)

Brief Comment:

There were no issue on procurement.

Monitoring and Evaluation: Satisfactory (Previously Rated Satisfactory on 06/30/2009)

Brief Comment:

The client delivered a report that made reference to the original indicators and explained the results based on these indicators.

Additional Comments on Implementation Performance:

PROGRAM

Program Specific Ratings

1. Please rate public involvement - Highly Satisfactory
2. Please rate government commitment - Not Rated
3. Please rate safeguard performance - Not Rated
4. Please rate arrangements for sustainability - Satisfactory

Program Specific Questions

1. Please comment on additional resources leveraged

The client contributed additional resources for the project. The final report mentions \$ 82,500 to support the Empowerment Fund that was provided for each of the round table. We are still waiting from the client to also get the amounts spent in administration and other expenses that they contributed towards the project's objectives.

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PROCESSING

Manager's comments on this GRM report:

Date:03/11/2011 User ID:WB257026 Name:Mr James Warren Evans Operation performed:Approved by Manager
Thanks
warren

GRM report history - Requested on 06/10/2010, due on 09/30/2010

Action	Name	Status	Date
Created	Claudia Sobrevila	Draft	12/20/2010
Changed	Claudia Sobrevila	Draft	02/28/2011
Changed	Claudia Sobrevila	Draft	02/28/2011
Changed	Claudia Sobrevila	Draft	02/28/2011
Changed	Claudia Sobrevila	Draft	02/28/2011
Changed	Claudia Sobrevila	Draft	02/28/2011
Changed	Claudia Sobrevila	Draft	03/01/2011
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Changed	Claudia Sobrevila	Draft	03/01/2011
Mail sent to Reviewer	Claudia Sobrevila	Draft	03/01/2011
Changed	Claudia Sobrevila	Draft	03/03/2011
Changed	Claudia Sobrevila	Draft	03/03/2011
Submitted for Approval	Claudia Sobrevila	Submitted	03/03/2011
Mail Sent to PM	Claudia Sobrevila	Submitted	03/03/2011
Approved by Manager	James Warren Evans	Approved	03/11/2011

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DOCUMENTS

List of documents attached to this GRM

- 1) ICM-Indigenous Peoples
- 2) GRM Report 20100630
- 3) Final report
- 4) Guidebook

DISBURSEMENTS

Disbursements Summary in USD

Date From	Date To	Planned Cumulative	Planned Period	Actual Cumulative	Actual Period
01/01/2008	06/30/2008	0.00	0.00	0.00	0.00
07/01/2008	12/31/2008	0.00	0.00	91,673.89	91,673.89
01/01/2009	06/30/2009	0.00	0.00	121,241.17	29,567.28
07/01/2009	12/31/2009	0.00	0.00	173,159.59	51,918.42
01/01/2010	06/30/2010	0.00	0.00	216,360.48	43,200.89
07/01/2010	12/31/2010	0.00	0.00	235,765.38	19,404.90