

World Bank - Grant Reporting and Monitoring (GRM) Report

FOR OFFICIAL USE ONLY

Ref. TF094441
Printed On: 10/19/2015

Reporting Period: 07/01/2013 to 06/30/2014
Report Type: Progress Report
Report Status: Approved

Assignment: TF094441

Policy and Institutional Reform for Mainstreaming and Scale-up of the Sustainable Land and Ecosystem Management in Project

GEFIA - GEF-IBRD AS IMPLEMENTING AGENCY

Task Team Leader: 00000327894 - Anupam Joshi
Approving Manager: 00000084677 - Herbert Acquay

Summary Information	
TF Status	ACTV
Recipient Country	India
Executed By	Recipient
Managing Unit	7206 - SASDI - HIS
Grant Start Date / End Date	08/10/2009 to 12/31/2014
Program Manager	Karin Shepardson
Original Grant Amount	981,412.00
Grant Amount	981,412.00
Outstanding Commitments	0.00
Cumulative Disbursements	792,748.90 as of 06/30/2014
Disbursed 07/01/2013 to 06/30/2014	202,611.65
Donor	TF602001 - MULTIPLE DONORS

This GRM report includes the following sections: Overview, Outcome, Components/Outputs, Execution, Processing, Attached Documents, Disbursements.

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OVERVIEW

Overall Assessments and Ratings

Grant Objectives:

Enhance the institutional and policy framework for harmonization, coordination and monitoring of interventions in agricultural and natural resource management strategies that promote sustainable land management and enhance agricultural productivity while minimizing environmental impacts.

Overall progress from 07/01/2013 to 06/30/2014 with regard to Achieving Grant Objectives:

Rating: Satisfactory(Previously Rated Satisfactory on 06/30/2013)

Comment:

The grant satisfactorily achieved the objective of mainstreaming and enhancing the institutional and policy framework for achieving Sustainable Land and Ecosystem Management (SLEM). Numbers of indicators in the results framework have been achieved. A mechanism for policy and institutional cooperation is in place firmly to facilitate implementation and monitoring of policy and institutional change related to SLEM and is likely to continue. The grant was able to complete the baseline study on key land degradation and desertification issues and completed the documentation of SLEM Best Practices from both SLEM and non-SLEM projects in India. In addition, a film on SLEM was also produced. The national level indicators for monitoring land degradation and desertification were also finalized during the grant period. The Indian Council of Forestry Research and Education (ICFRE), successfully worked as the Technical Facilitation Organization (TFO) under SLEM. The National Steering Committee (NSC), which is chaired by the Additional Secretary, Ministry of Environment and Forests (MoEF) met regularly.

Overall progress from 07/01/2013 to 06/30/2014 with regard to Implementation of Grant Financed Activities:

Rating: Satisfactory(Previously Rated Satisfactory on 06/30/2013)

Comment:

The implementation of the grant is rated as satisfactory. The achievement towards grant objectives and the fiduciary performance have been satisfactory. The performance of all the components is also satisfactory.

The overall performance of Component 1 (Institutional Policy and Mainstreaming) is rated Satisfactory, as the analytical baselines for harmonization of SLEM policy are now completed. The National Steering Committee (NSC) is serving as inter institutional mechanism for SLEM policy coordination with participation of relevant ministries/line departments. The NSC is likely to convene beyond the project period. The roadmap for policy harmonization could not be completed but is expected to be developed under the proposed Ecosystem Services Improvement Project (ESIP) that includes a component of scaling up SLEM Best Practices. The roadmap once ready project and will be endorsed by the NSC. The performance of the second component (Outreach and knowledge management for scaling up of sustainable land management solutions) is rated as Satisfactory as a number of technical solutions (best practices) have been identified and documented. These are being disseminated throughout the country through the ICFRE institutional network. The performance of the third component (Program Management and M&E) is rated Satisfactory, as the SLEM-CPP Operational Manual was developed and endorsed by the NSC. Further, monitoring indicators are also developed and finalized through a series of consultative workshops with stakeholder who will be the end users of these indicators. The TFO has been reporting the project progress in the NSC meetings regularly.

Grant follow-up and structure

Description and context of Grant:

Expected follow up (if any): Policy Reform/legal change

Comment on follow up:

The grant has closed. As a follow up action, the National Steering Committee should continue to meet and the desertification cell in the MoEFCC should play a more central role in maintaining the continuity of SLEM-CPP program. Additional SLEM related investments are planned to be included under the GEF5 operations currently being prepared.

End Date of Last Site Visit:

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Restructuring of Grant:

No restructuring was done.

Activity Risk

Rating: Negligible or Low Risk (Original Risk Rating is Modest Risk)

Comment:

Risk 1. Limited capacity of the Technical Facilitation Organization (TFO) to fully implement its Terms of Reference.

Mitigation: A thorough process for selecting the TFO was undertaken by the MOEF. There is close and regular supervision during the implementation phase by the NSC. Selection of a society type of organization (ICFRE) which has considerable experience in project implementation with an oversight structure headed by MOEF.

Risk 2. Ability of MoEF to engage other Ministries and Departments to actively participate in the program.

Mitigation: The seniority of leadership in the MoEF combined with the selection of a well reputed and experienced national organization, with national outreach to lead the program should ensure buy-in of other Ministries and Departments to the program. The composition of the National Policy and Steering Committee is designed to include all stakeholders in the program and well prepared meetings of the NPSC will ensure their active participation throughout the project period. The prior experience of MOEF in coordination with other GOI agencies and Multi-donor agencies under the GEF Empowered Committee on GEF activities provides a platform to build upon the institutional oversight necessary for the success of the MSP.

Risk 3. Limited ability of institutions at Union and State level as well of Civil Society organizations to absorb and actively contribute to generating lessons learned and their upscaling.

Mitigation: Well prepared and marketed outcomes of the program will facilitate their uptake of concerned stakeholders. In addition, the strong signal sent through the XIth Development Plan, emphasizing the need to focus development efforts on marginalized groups and marginalized areas of the country, is an important motivation for union and state institutions to take advantage of the SLEM-CPP. The selected TFO is well connected with society groups and has a wide network of institutes and organizations in different bio-geographical zones of India. A bottom up approach to build support to SLEM policy is better suited to result in actions than if initiated solely from central level.

Critical Issues and Pending Actions for Management Attention

Summary of key issue and pending actions for management attention are listed below.

Critical Issues:

As of June 30, 2014, the grant disbursed 78% resources and with the commitments already made, about 90% grant will be utilized within the grace period. There are no key issues and actions for management attention as the grant has closed.

An Implementation Completion Report to be prepared.

By Whom: World Bank

By When: September 30, 2014

Status: New

Date Resolved:

OUTCOME

Comments on outcome achieved from 07/01/2013 to 06/30/2014

Grant Outcome Indicators

No Outcome indicators are defined or used for this grant.

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COMPONENTS/OUTPUTS

Output and Implementation by Component

Institutional Policy and Mainstreaming

Implementation Rating: Satisfactory
Status: Completed
Planned Output: Analytical baseline study, Inter institutional mechanism for SLEM policy coordination & Road map for policy and Institutional harmonization
Actual Output: Analytical work completed (baseline report published), institutional mechanism established (National Steering Committee) and road map will be developed as part of GEF5 operation.

Technical solutions for SLEM inventoried and dispatched & Information database on SLEM established

Implementation Rating: Satisfactory
Status: Completed
Planned Output: Document technical solutions and create database of documented solutions
Actual Output: SLEM best practices documented and published. An online database is developed to access the documented best practices.

Program Management and M&E

Implementation Rating: Satisfactory
Status: Completed
Planned Output: SLEM-CPP operational manual in place, Program level M&E system with monitoring parameters developed & SLEM-CPP reporting system in place
Actual Output: Operational Manual prepared and adopted and National Indicators developed for SLEM programmatic outcomes

Comment on planned and actual Output

Two of the actual outputs, namely the baseline analytic study and documented SLEM best practices have been published and released in country.

Comment on component implementation progress

The overall performance of Component 1 (Institutional Policy and Mainstreaming) is rated Satisfactory, as the analytical baselines for harmonization of SLEM policy are now completed. The National Steering Committee (NSC) is serving as inter institutional mechanism for SLEM policy coordination with participation of relevant ministries/line departments. The NSC is likely to convene beyond the project period. The roadmap for policy harmonization could not be completed but is expected to be developed under the proposed Ecosystem Services Improvement Project (ESIP) that includes a component of scaling up SLEM Best Practices. The roadmap once ready project and will be endorsed by the NSC.

The performance of the second component (Outreach and knowledge management for scaling up of sustainable land management solutions) is rated as Satisfactory as a number of technical solutions (best practices) have been identified and documented. These are being disseminated throughout the country through the ICFRE institutional network.

The performance of the third component (Program Management and M&E) is rated Satisfactory, as the SLEM-CPP Operational Manual was developed and endorsed by the NSC. Further, draft monitoring indicators are also developed and finalized through a series of consultative workshops with stakeholder who will be the end users of these indicators. The TFO has been reporting

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the project progress in the NSC meetings regularly.

EXECUTION

Bank project related to the grant

Project ID / Name: P112844 - IN:MSP Inst. Coord.,Policy Outreach& M&E
Project Status: Lending
Global Focal Area: Multi-focal area
Product Line: GM - GEF Medium Sized Program

Implementing agency and contact details

Agency: Indian Council of Forestry Research and Education
Contact: Anurag Bhardwaj
Address: Project Director, SELM, ICFRE, FRI Campus, Dehradun, India
Phone: +91-135-2754301 Email: bhardwaja@icfre.org
Website: www.icfre.org

Implementation performance ratings from 07/01/2013 to 06/30/2014 with regard to:

Project Management: Satisfactory (Previously Rated Satisfactory on 06/30/2013)

Brief Comment:

All planned activities and consultant contracts managed well and completed.

Financial Management: Satisfactory (Previously Rated Satisfactory on 06/30/2013)

Brief Comment:

Audit reports are on time and financial management systems are working well.

Counterpart Funding: Satisfactory (Previously Rated Satisfactory on 06/30/2013)

Brief Comment:

All required support from client side (counterpart funding) was available, including the use of office space, project director and other staff.

Procurement: Satisfactory (Previously Rated Satisfactory on 06/30/2013)

Brief Comment:

Procurement activities completed satisfactorily.

Monitoring and Evaluation: Satisfactory (Previously Rated Satisfactory on 06/30/2013)

Brief Comment:

National level monitoring indicators for SLEM were developed and disseminated through stakeholder consultations.

Additional Comments on Implementation Performance:

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PROCESSING

Manager's comments on this GRM report:

Date:01/28/2015 User ID:WB84677 Name:Mr Herbert Acquay Operation
performed:Approved by Manager
I endorse this GRM.

GRM report history - Requested on 08/08/2014,due on 09/30/2014

Action	Name	Status	Date
Submitted to TTL	Genevieve Maria Dutta	Draft	08/12/2014
Changed	Anupam Joshi	Draft	08/12/2014
Changed	Anupam Joshi	Draft	08/12/2014
Changed	Anupam Joshi	Draft	08/12/2014
Changed	Anupam Joshi	Draft	08/12/2014
Changed	Anupam Joshi	Draft	08/12/2014
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Changed	Anupam Joshi	Draft	08/12/2014
Changed	Anupam Joshi	Draft	08/12/2014
Mail sent to Reviewer	Anupam Joshi	Draft	08/12/2014
Changed	Anupam Joshi	Draft	08/13/2014
Submitted for Approval	Anupam Joshi	Submitted	08/13/2014
Mail Sent to PM	Anupam Joshi	Submitted	08/13/2014
Approved by Manager	Herbert Acquay	Approved	01/28/2015

DOCUMENTS

List of documents attached to this GRM

1) GRM Report 20140630

DISBURSEMENTS

Disbursements Summary in USD

Date From	Date To	Planned Cumulative	Planned Period	Actual Cumulative	Actual Period
07/01/2009	12/31/2009	0.00	0.00	100,000.00	100,000.00
01/01/2010	06/30/2010	0.00	0.00	100,000.00	0.00
07/01/2010	12/31/2010	0.00	0.00	222,016.87	122,016.87
01/01/2011	06/30/2011	0.00	0.00	245,100.10	23,083.23
07/01/2011	12/31/2011	0.00	0.00	309,147.80	64,047.70
01/01/2012	06/30/2012	0.00	0.00	443,166.63	134,018.83

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07/01/2012	12/31/2012	0.00	0.00	460,648.75	17,482.12
01/01/2013	06/30/2013	0.00	0.00	590,137.25	129,488.50
07/01/2013	12/31/2013	0.00	0.00	680,838.23	90,700.98
01/01/2014	06/30/2014	0.00	0.00	792,748.90	111,910.67
07/01/2014	12/31/2014	0.00	0.00	880,613.13	87,864.23