

Evaluating the Nexus between Environment, Climate Change and Development

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Outline

- Module 1: Introduction
- Module 2: Sixth Comprehensive Evaluation of the GEF (OPS 6)
- Module 3: Results and methods
- Module 4: Governance and Institutional issues
- Module 5: The road ahead

Module 1: Introduction

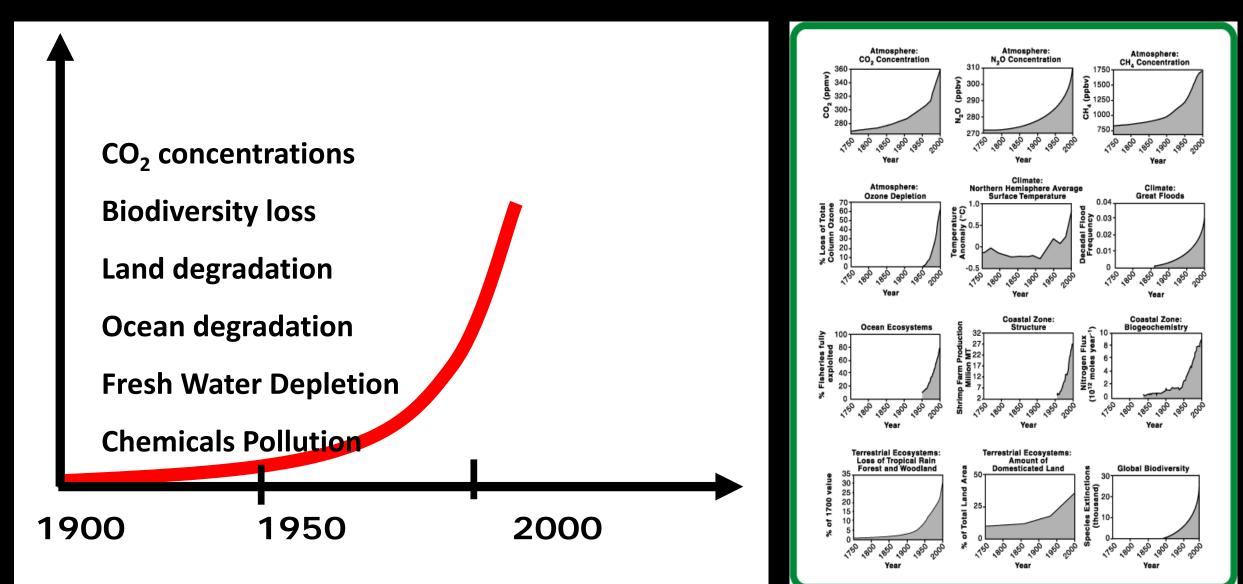
- Introduction to the participants and the session
- The state of the global environment including planetary boundaries
- Introduction to Global Environmental Facility, Conventions, IEO etc
- Linkages to the SDGs

Let's get to know each other

- > Briefly introduce yourself
- Your Name, Job description and Institution
- > Why are you here?
- What are your expectations from this workshop?

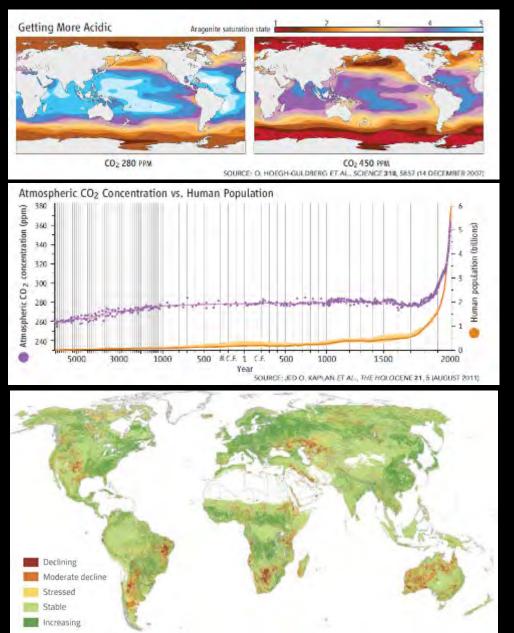


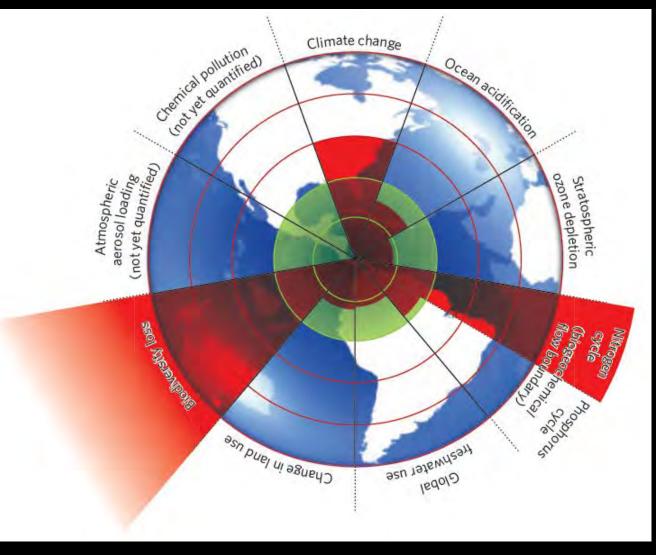
The State of Global Environment



Source: SEI

Transgressing safe boundaries





Rockström et al. 2009 Nature, 461 (24): 472-475



United Nations Framework Convention on Climate Change

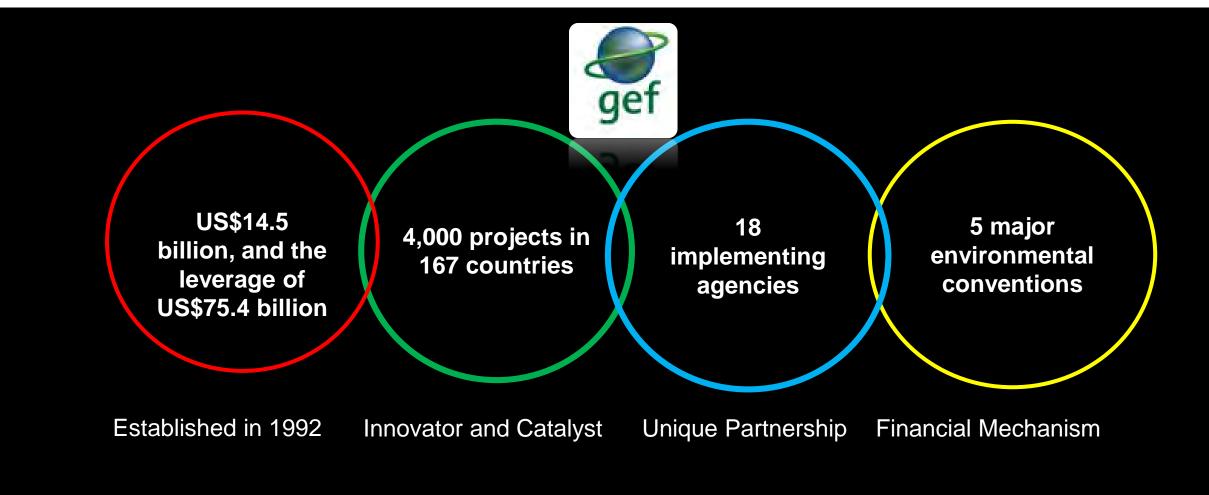




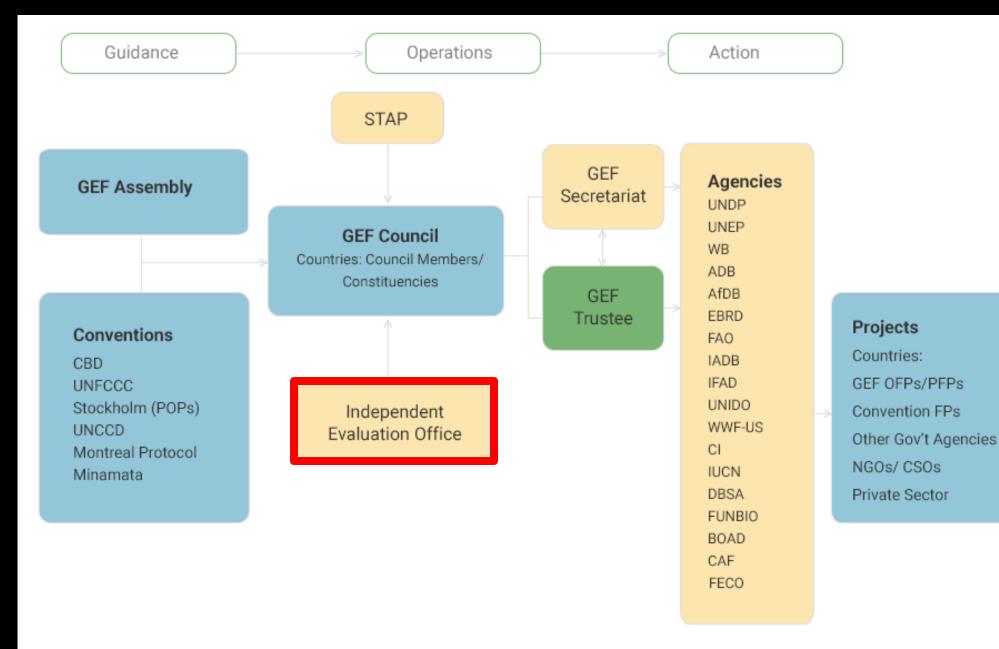
United Nations Convention to Combat Desertification







The Global Environment Facility



GEF: Institutional Framework

GEF Independent Evaluation Office

Mission

Functions

- To enhance global environmental benefits through <u>excellence</u>, <u>independence</u>, and <u>partnership</u> in evaluation
- Independent evaluation
- Setting of minimum standards (normative)
- Quality control (oversight)
- Knowledge sharing and dissemination



The GEF and the SDGs



Credit: Stockholm Resilience Center

Module 2: Sixth Comprehensive Evaluation of the GEF (OPS 6)

- Introduction to OPS6
- > Overall approach
- Description of the studies, including focal areas & cross cutting issues and 29 briefs
- Brainstorming on Transformational change. What does it mean? How it can be assessed etc.?
- Transformational change



Sixth Comprehensive Evaluation of the GEF (OPS6)

Outline

1 Objective, Quality Assurance, Methodology, Limitations **2** GEF Portfolio **3** Strategic Relevance **4** Performance and Impact **5** Focal Areas 6 Programmatic Approaches and Integrated Approach Pilots 7 Conclusions and Recommendations



SECTION 1 Overview

OPS6 Overview Objective

Methodology

Limitations

To provide solid evaluative evidence to inform the replenishment negotiations for GEF-7

29 evaluations and studies

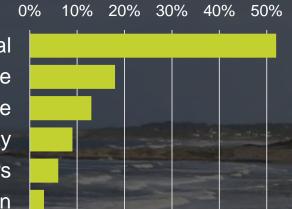
Mix of qualitative and quantitative approaches including geospatial analysis

Formative approaches to evaluate ongoing programs Limitations imposed by data and timing

GEF-6 Overview Portfolio (as of June 30, 2017)

Focal areas

Multifocal Climate change Chemicals and waste Biodiversity International waters Land degradation

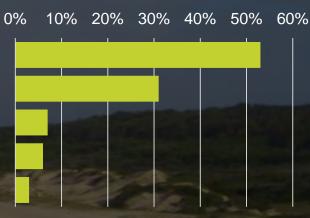


444 projects\$2.4 billion

Modalities

60%

Full-size projects Programmatic approaches Small Grants Program Medium-sized projects Enabling activities





Regions

Africa Asia Latin America & Caribbean Regional and global Europe & Central Asia



OPS6 Overview Strategic relevance

Conventions. Main funding mechanism for:









United Nations Framework Convention on Climate Change







Also relevant to the





LEC Independent Evaluation Office

SECTION 2 Performance and Impact

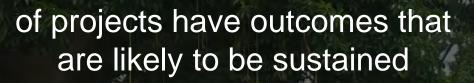
Performance and Impact



Satisfactory outcomes

Drivers of good performance:

- Project design
- Quality of implementation and execution
- Materialized co-financing



63%

- Performance and sustainability of outcomes > in middle income countries
- Institutional capacity challenges in Africa

FOCAL AREA STUDIES Common findings

Relevant to conventions

Strong performance ratings on outcomes with limited variation Sustainability of outcomes (Land degradation & Biodiversity) M&E Design (International Waters and Chemicals) M&E Implementation (International Waters, Chemicals and Multifocal) Variation in private sector engagement Transformational change

FOCAL AREA STUDIES Biodiversity: Addresses specific drivers and pressures of biodiversity loss

Increase in the biodiversity mainstreaming portfolio with focus on reforms, and improved outcomes Percent of forest loss in GEF supported protected areas was half that of protected areas not supported

Access to Benefits Sharing

Support to 100 countries in development legislation and discovery of "promising compounds"; project designs often "overpacked"

FOCAL AREA STUDIES Climate change

liche areas in changing landscape Upstream approaches including policy reform to accelerate market development and create an enabling environment for investment Risk sharing approaches

Piloting innovative technologies Collaborating with other climate funds and MDBs to scale up investments

FOCAL AREA STUDIES **Climate change: Examples**



China

Bosnia and Herzegovina

Mauritius

297 projects 1.37 billion

FOCAL AREA STUDIES Climate change adaptation (LDCF/SCCF)

of projects have a high to very high probability of delivering tangible adaptation benefits of completed projects received sustainability ratings in the likely range

75%

 Highly relevant to UNFCCC COP guidance and the GEF Adaptation Strategy

 Agriculture, NRM and climate information systems / disaster risk management Resource availability: Constraint to actual scaling up

FOCAL AREA STUDIES International waters

Support to multiple regional and global treaties High level of contemporary relevance

Planetary boundaries and environmental tipping points

Significant emphasis on knowledge and learning

Dominance of marine and ocean investments **\$**\$ Decline of the funding envelope

FOCAL AREA STUDIES International waters: Examples



Pacific Islands

GloBallast

Hai River Basin

FOCAL AREA STUDIES Land degradation

Strategy

Shift towards integrated landscape

Shift from linkages towards land degradation **neutrality**

High level of effort in Africa

Portfolio

Addresses the local socioeconomic **drivers**



Climate risks, contextual factors, restoration

FOCAL AREA STUDIES Land degradation



Gambia

Tanzania

Cuba

FOCAL AREA STUDIES Chemicals and waste



Strong government ownership Private sector commitment

Balancing hard outcomes metrics against relatively softer interventions Promoting sector-wide approaches

FOCAL AREA STUDIES Chemicals and waste

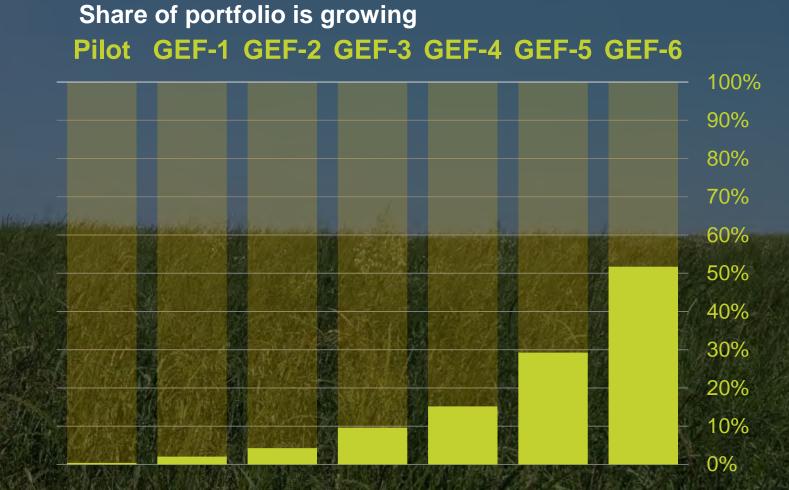


Georgia

China

Mauritius

FOCAL AREA STUDIES Multifocal



77% satisfactory outcomes 61% likely sustainable

STAR focal areas

Climate change

Biodiversity degradation

Chemicals & waste International waters

FOCAL AREA STUDIES Multifocal





Majority of projects generated multiple benefits Potential to enhance synergies and mitigate trade-offs Institutional arrangements for sectoral integration

FOCAL AREA STUDIES Multifocal

Enhancing synergies

<image>

Mitigating trade-offs through value addition

Senegal



China

Do GEF interventions yield positive returns on investment?



LAND DEGRADATION Value for money: Factors

Vegetation productivity

Lag time of 4.5 to 5.5 years for impacts to be observed Access to electricity associated with higher impact Higher impactforeobserved in areas withland fpoor initial conditions

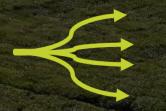
forest loss and land fragmentation



SECTION 3 Programmatic and Integrated Approach Pilots

PROGRAMMATIC APPROACHES Findings

Program child projects perform slightly better than standalone projects



Outcome performance, cost effectiveness and efficiency decline with increased complexity



Coherence in project-program objectives has improved, but results focused on projects rather than programs

PROGRAMMATIC APPROACHES Global Wildlife Program

Relevant to biodiversity strategy

Comprehensive theory of change addressing illegal wildlife trade

Global coordination grant

Simplified M&E framework

Gaps in geographic and species coverage Structural limitations caused by funding mechanism

Political will and corruption not explicitly addressed

Minimal funding for demand reduction

INTEGRATED APPROACH PILOTS

Designed to build on linkages and connections across focal areas Formative evaluation based on 30 child projects approved



Sustainable cities

Challenges to rapid urbanization in 28 cities

Commodities

Tropical Deforestation caused by soy, beef and palm oil in 4 producing countries

Food Security

Smallholder agriculture and food value chains in 12 African countries

INTEGRATED APPROACH PILOTS Relevance

GEF has an important convening role

+ + +

Draw on comparative strength of the Agencies and think tanks



Countries/cities relevant to drivers of environmental degradation

93%

of respondents agree that IAP child projects will address conventions at multiple levels

INTEGRATED APPROACH PILOTS Design

Coherence in objectives between program and child projects

Emphasis on knowledge exchange

Designed for scale up, replication and market transformation

Gender and resilience addressed

Demonstration of program additionality Specification and measurement of GEB Targets Alignment between project and program outcome indicators

INTEGRATED APPROACH PILOTS Process

Relevant selection of countries, cities and agencies but process varied

Set-aside funds provided incentives for countries

Agency, city and country selection process not always clear

Under estimate of time to design and launch a complex program

Limited private sector participation

INTEGRATED APPROACH PILOTS Lessons

Design

- Demonstration of GEF additionality and comparative advantage
- Alignment of objectives between child projects and programs should translate into alignment of indicators
- Standardized measurements for GEB targets

Agency selection based on

Process

- comparative advantage
- Transparency and clear criteria for agency and country selection
- Clarity on partnership arrangements
 Monitoring progress
- ✓ Effectiveness of knowledge platforms
 ✓ Program and Project Outcomes

Comparative advantage

RELEVANCE

- Serves multiple conventions and broad range of environmental issues
- 2. Strong Support to LDCs and SIDS

PERFORMANCE

- 3. Long history of good performance
- 4. Ability to address linkages and synergies between focal areas

TRANSFORMATIONAL

- Ability to Create an enabling environment in countries through legal and regulatory reforms
- 6. Delivers innovative financial models and risk-sharing approaches

Recommendations

Strategic	Financial	Policies	Institutional
 Strategic positioning Transformational change Integration based on additionality 	 Financial management Private sector management 	 Gender equality Safeguards and indigenous people 	 Operational governance Systems for data, monitoring and knowledge

GEF's Support for Transformational Change

Brainstorming on Transformational change.

- What does it mean?
- How it can be assessed etc.?



GEF's Support for Transformational Change

4 criteria: Relevance Depth of Change Scale of Change Sustainability

Relevance

- Climate Change
- Biodiversity
- Land Degradation
- Chemicals and Waste
- International Waters
- Sustainable Forest
 Management

Internal Factors

- Quality of implementation
- Quality of execution
- Pre-intervention analytical and advisory activities
- Partnerships with donors

• Depth of change • Scale of change

Transformational Mechanism

A mechanism to expand and sustain the impact of the intervention (through mainstreaming, demonstration, replication, or catalytic effects)

Ambition Level and Focus

(of intervention objectives)

- Depth of change (market and system focus)
- Scale of change

Contextual Conditions

- Government ownership and support
- Implementation capacity
- Policy environment
- NGO & community participation
- Private sector participation
- Economic and market conditions

Sustainability

- Financial
- Economic
- Environmental
- Social
- Political

PERFORMANCE AND IMPACT Broader adoption and transformational change

of projects achieved broader adoption

61%

Mechanisms for broader adoption: Mainstreaming and replication Scaling-up and market change



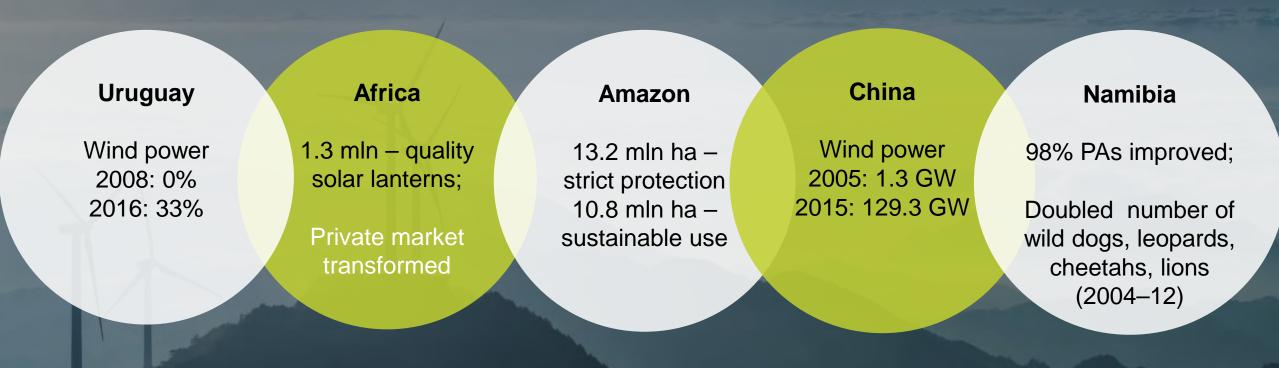
of projects achieved environmental stress reduction

Success factors for transformational change:

- Clear ambition in designs
- Addressing market reforms through policies
- Mechanisms for financial sustainability
- Quality of implementation and execution
- May be achieved by projects of different size

EXAMPLES

Transformational Change



156 projects - nominated and screened
30 cases (49 projects) - first review round
13 cases (29 projects) - second review round
8 cases (13 projects) - selected

Uruguay Wind Energy Program

2007–2011 GEF: USD 1 mln; UNDP: USD 35,000; National government: USD 53.7 mln

Result: Wind power - 2008: 0%; 2016: 33% of all electricity in the country

Relevance: decreasing greenhouse gas emissions

Depth of change: system and market-level (removing barriers to the wind energy market)

Scale of change: national

 Sustainability: credible financial sustainability of investments; prices competitive with those of the fossil-fueled alternatives

Lighting Africa

2007–2013 GEF: USD 7.85 mln; co-financing: USD 14.09 mln

Result: about 1.3 mln households in remote off-grid areas of Africa purchased quality-certified solar lanterns at market prices

Relevance: decreasing greenhouse gas emissions; increasing electricity access

Depth of change: system and market-level (removing barriers to the markets for quality, affordable, clean, and safe off-grid lighting)

Scale of change: multi-national

 Sustainability: self-sustaining market; people continue using and buying lamps; suppliers continue supplying; micro-financing available for end users

Amazon Protected Areas Program

2002–2008 GEF: USD 30 mln; co-financing: USD 55.38 mln

Result: Doubled the amount of Brazilian Amazon under "strict protection" from 12 mln ha in 2004 to over 25 mln ha in 2009. Added another 10 mln ha in "sustainable use".

Relevance: conserving biodiversity of global importance in Brazil's Amazon Region

Depth of change: system-level (expanding and consolidating the protected area systems in the region)

Scale of change: regional

Sustainability: endowment fund (\$23.4 mln), however government contributions to PAs continue to be necessary

SUCCESS FACTORS FOR Transformational change

Clear ambition in design
Addressing market reforms through policies
Mechanisms for financial sustainability
Quality of implementation and execution
May be achieved by projects of different size

[Coffee Break] 11:00-11:20 am

Module 3: Results and methods

- [Group Work: Context-Question-Discussion-Approach-Result]
- Focal Area Studies with demonstration of methods
- Multiple Benefits, Trade-off and Synergies, Integrated approaches

Focal Area Studies with demonstration of methods

Anupam Anand

Questions we seek to answer through evaluation

Relevance of the intervention—is it in the right context?



Trends in performance and impacts going far back in time...even if we didn't have baseline data?

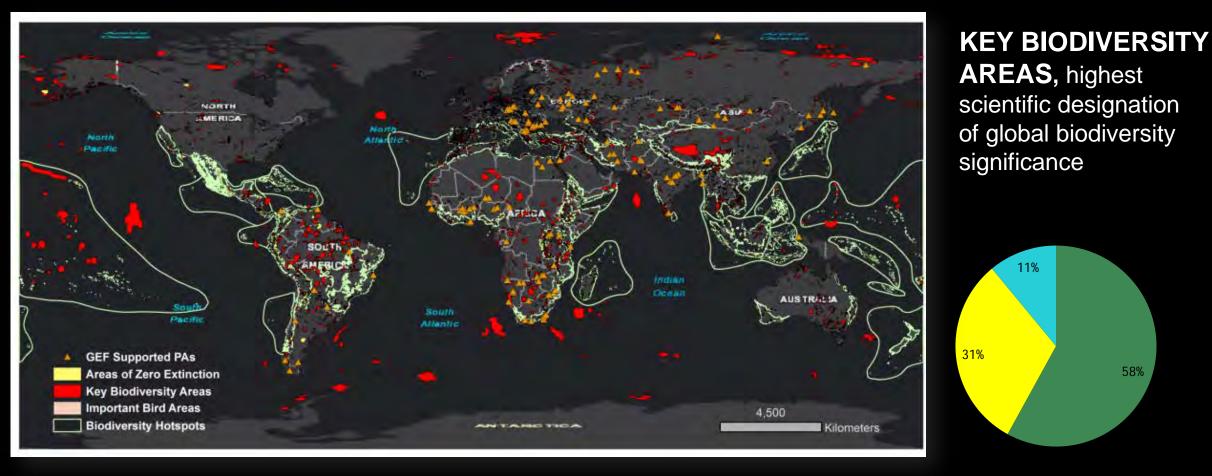
Attribution: Did the intervention make a difference? -counterfactuals



Does the intervention deliver value for money?



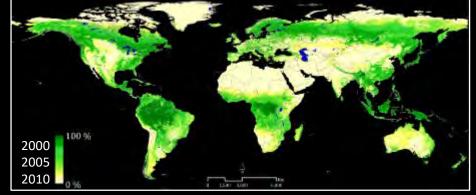
Biodiversity: Relevance



■KBA ■International Designation ■National Importance

Study the impact of GEF support to 1292 global protected areas across 147 countries.





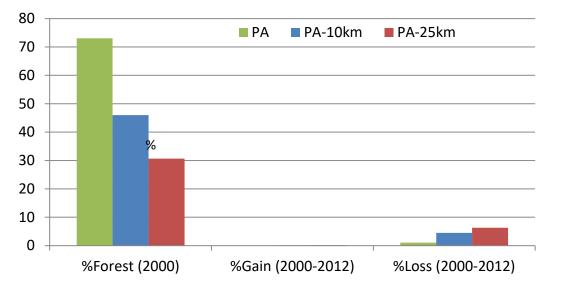


Hanssen et al., 2013, Sexton et al. 2013. International Journal of Digital Earth 6: 427-448; Kim et al. 2014. Remote Sensing of Environment.

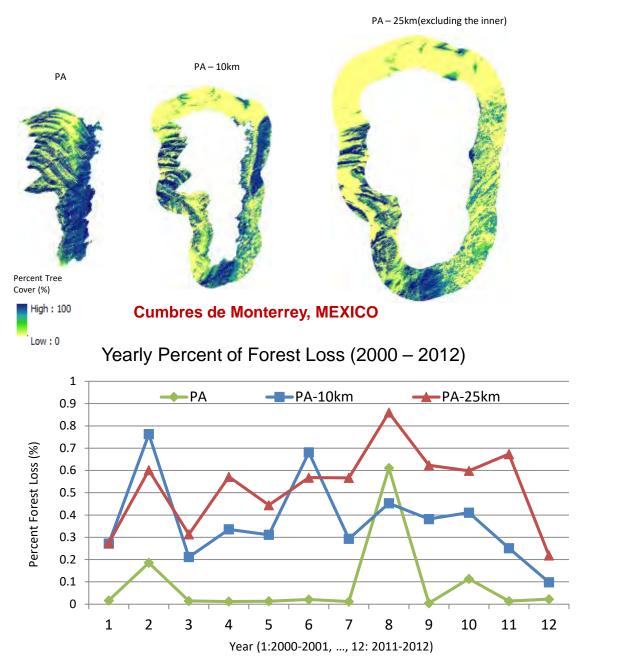
Forest Cover Change Analysis



Decadal Forest Cover, Gain and Loss (2000 – 2012)



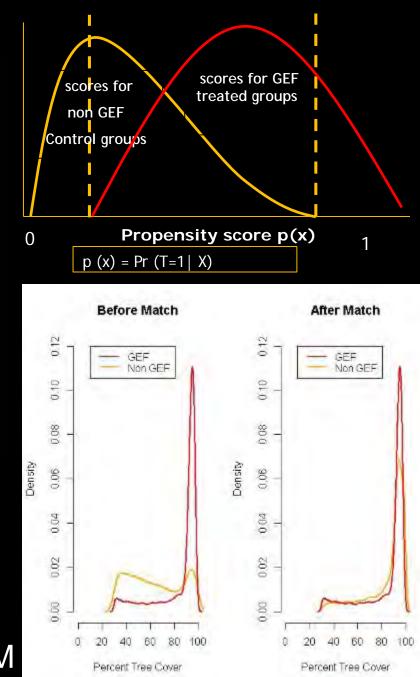
Percent Tree Cover (2000)





Attribution: Did the intervention cause the change?

Quasi-experimental evaluation design based on PSM





NASA DigitalGlobe NextView

support

Biodiversity

- Indicators
 - Annual change in forest area and land under cultivation*: Satellite Data analysis
 - > Area of forest under sustainable forest management as a percent of forest area: Geospatial data/Administrative data
 - Red List Index: Telemetry, Tracking Data, Surveys/International monitoring
 - Protected areas overlay with key biodiversity areas(KBAs)

Distribution of GEF land degradation projects

LAND DEGRADATION Value for money analysis: 3 main objectives



2

Impact of GEF land degradation interventions

Factors associated with the environmental outcomes



Value for money in terms of carbon sequestered

6. Valuation of Carbon sequestration

5. Causal tree analysis

3. Data integration

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atial data

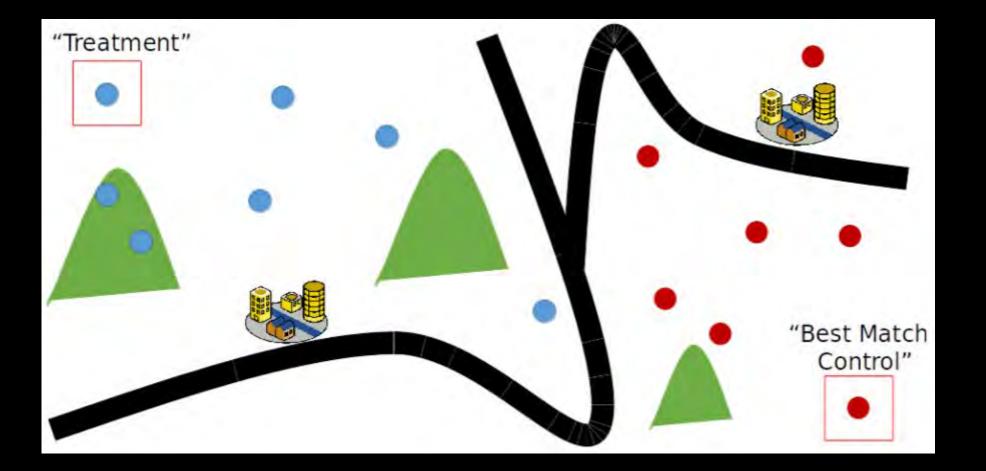
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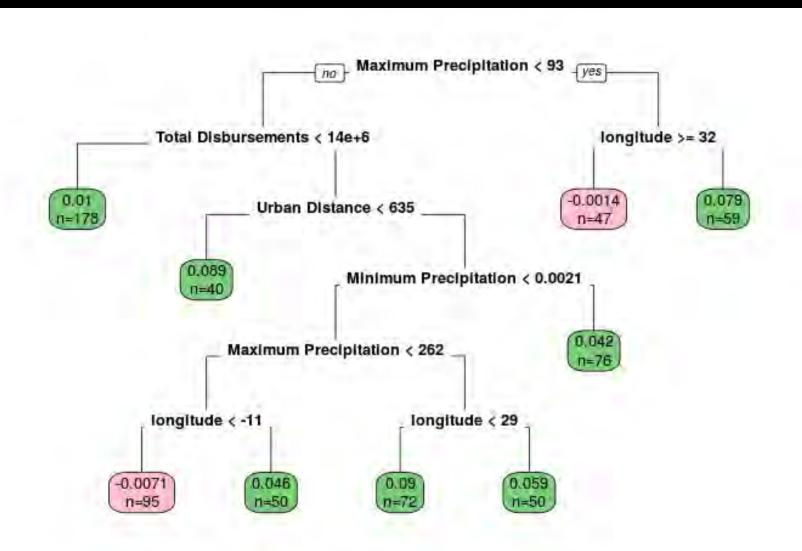
4. Matching analysis

Methodology

LAND DEGRADATION Quasi-experimental method

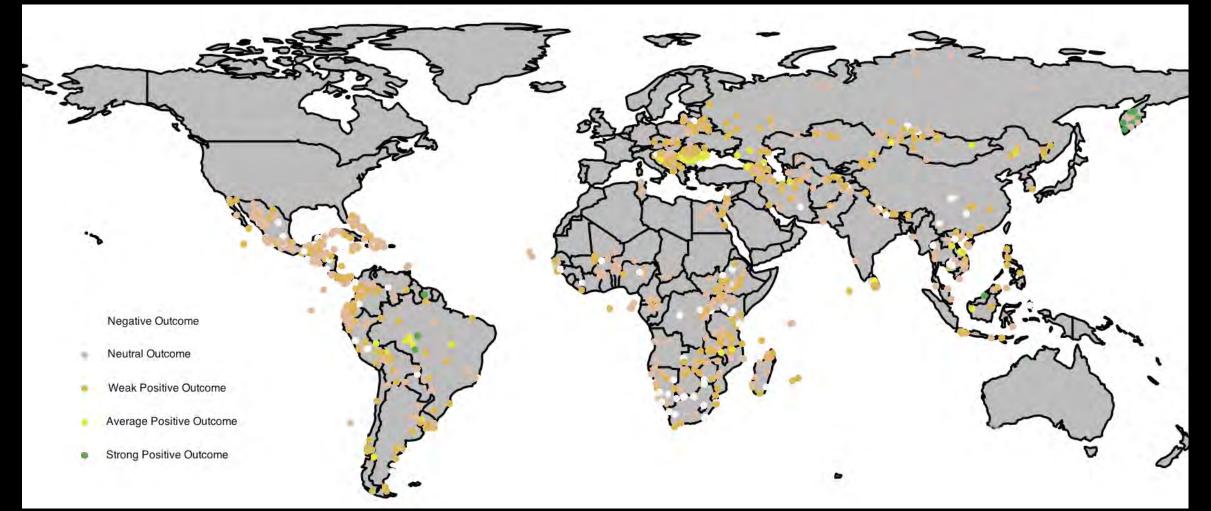


LAND DEGRADATION Machine learning and causal tree

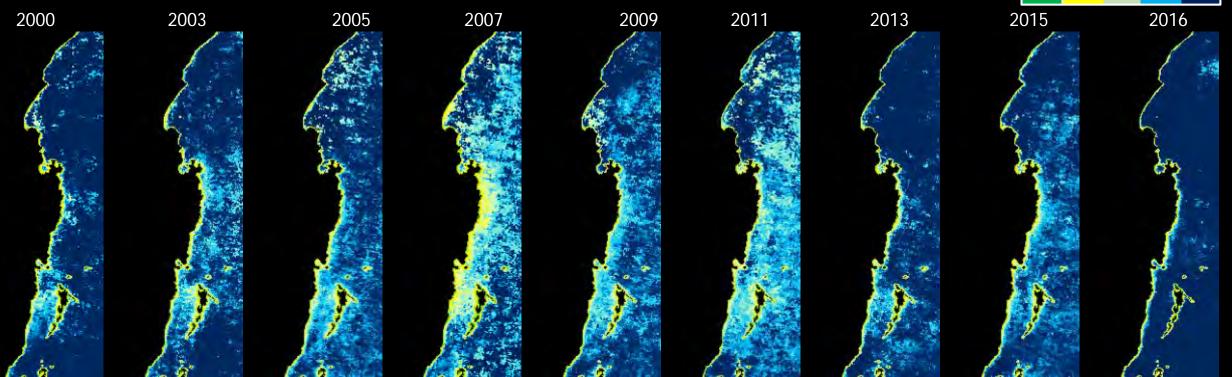


LAND DEGRADATION Bang for the buck

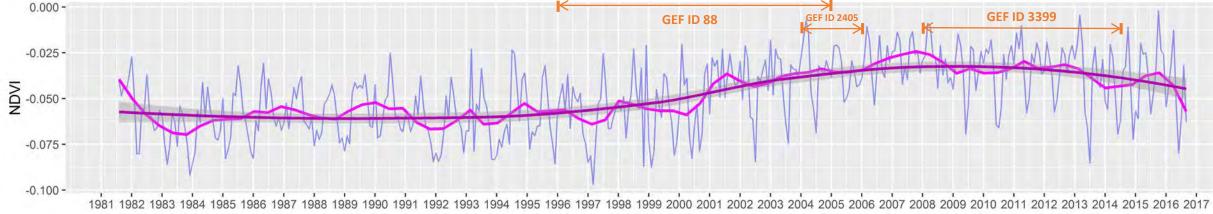




DEMONSTRATING IMPACT International waters: Lake Victoria



Vegetation Water



Ecological forecasting: Predicting the future



Estimating the impact



Project design

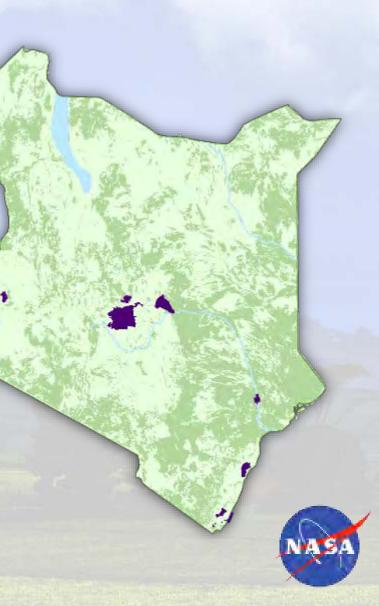


Scenario building

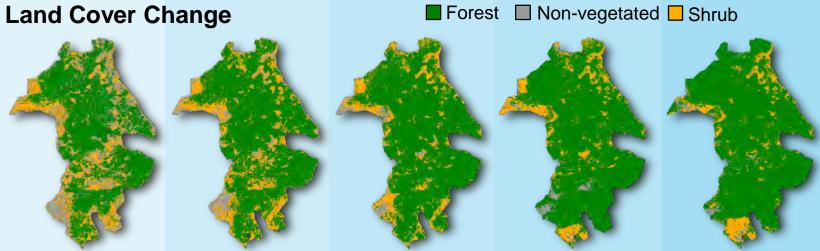
Kenya Ecological Forecasting

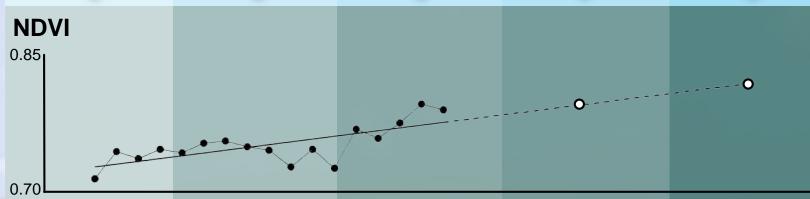
"Estimating Carbon Sequestration within Global Environment Facility (GEF) Funded Protected Areas in Kenya to Aid Future Policy"

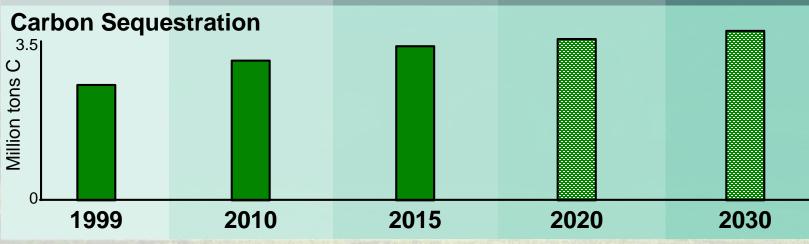
- Research collaboration between the Global Environment Facility's Independent Evaluation Office (GEF-IEO) and NASA DEVELOP program
- Evaluated land cover and aboveground carbon stocks for 12 GEF protected areas in Kenya



Forest Non-vegetated Shrub







Case Study: Kakamega Forest Reserve

Triangulating Across Methods

Beneficiary survey



Bamboo Forest

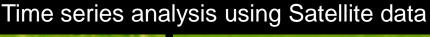


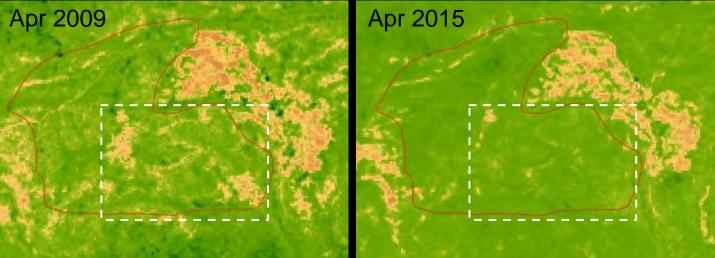
	Has the project allowed for creating of new jobs and livelihood?
	Do you believe project technicaris istened to you and toek your valke like accourt when planning or implementing the project?
	Did the project involvement and women equally
	To what extent is the local community involved a the project?
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s the project increased productivity mi igelands? (mN)	ve:-
s the project allowed for creating of new jobs 1 livelihood?	yes
you believe project rechnicians listened to and took your voice into ancourt when ming or implementing the project?	to_a_moderate_

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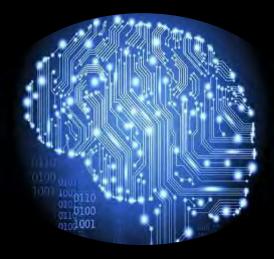


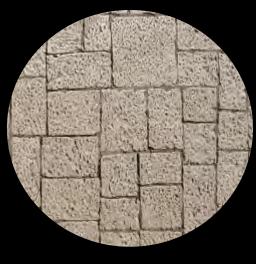


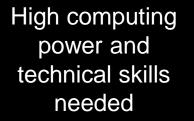
Mixed methods and triangulation of findings **Qualitative methods**

- Case study
- Field visits
- Focused group interview
- Stakeholders interview

Challenges and Limitations







Uneven availability and accuracy of contextual variables across sites



Cannot always answer "how" and "why" questions



Need for field verification/ groundtruthing Multiple Benefits, Trade-off and Synergies, Integrated approaches

Jeneen Garcia

Module 4: Governance and Institutional issues

Cross cutting issues (Gender, CSO, Ips, KM, Private Sector)



Cross Cutting Issues: Institutional Framework

INSTITUTIONAL FRAMEWORK Financing



\$£¥€

Exchange rate volatility

Donors have delivered on funding commitments

Fragmentation in donor funding

Ability to offer grants and non-grants appreciated

460 projects \$2,5 million in GEF investments

INSTITUTIONAL FRAMEWORK Private sector

Not an area of comparative advantage Operational restrictions constrain engagement Climate change investments feature heavily

Needs to be seen as a partner, not only a source of funding

INSTITUTIONAL FRAMEWORK Non-grant instrument

Greater diversity in use of NGI, beyond climate change

Technical assistance plays a significant role

Accessing NGI funds

\$732.6 million in GEF investments

91 projects

In-house capital markets expertise

\$

INSTITUTIONAL FRAMEWORK Gender

Modest improvements Gender analysis = higher gender ratings

Policy does not provide a clear framework Gender Partnership is evolving into a platform to build a constituency

INSTITUTIONAL FRAMEWORK Safeguard policies and indigenous people

Catalytic role in many GEF agencies



GEF projects that include indigenous peoples has increased substantially

Gaps in the GEF Minimum Standards



Most agencies fully consistent with obligations under Minimum Standard 4:IP



Absence of guidance on safeguards reporting during project implementation



UNDP SGP is primary modality for engagement with IPs

INSTITUTIONAL FRAMEWORK PMIS, RBM, Knowledge management: PROGRESS OBSERVED



Project Management Information System Data quality needs to keep up with partnership needs Results-Based Management Promotes accountability, limited learning

Knowledge Management Used, and facilitates information sharing and, but access is limited

Module 5: The road ahead

Addressing complexity
 Technological Innovations
 Open discussion, Q&A and concluding remark

Addressing complexity

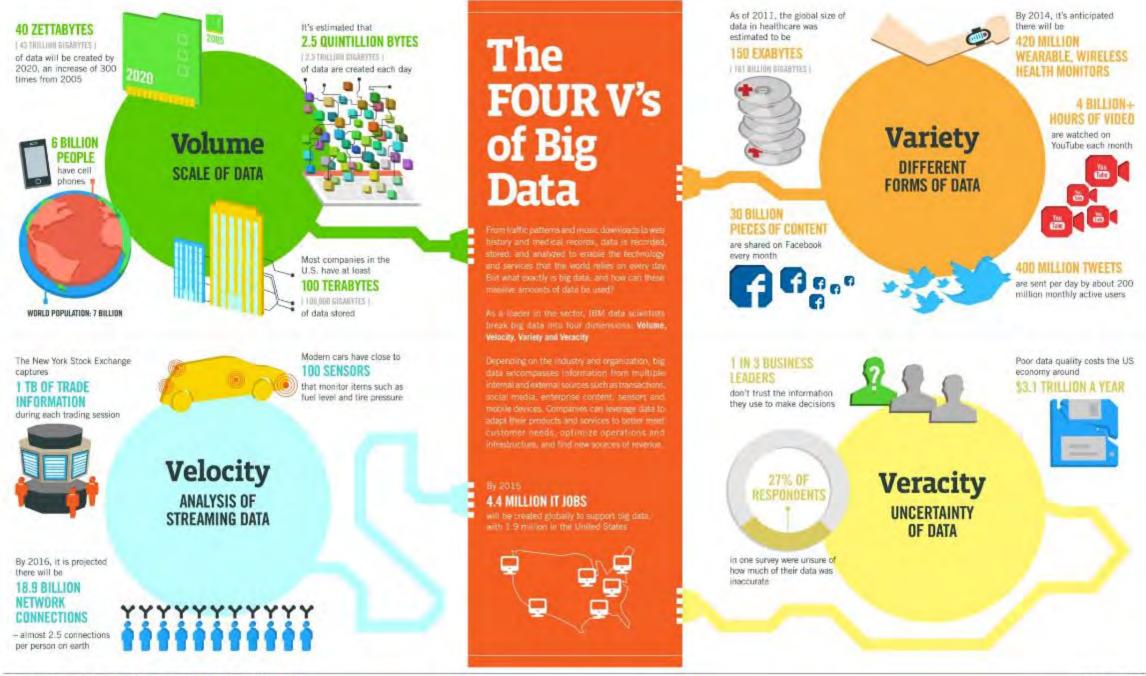
Jeneen Garcia

Innovative Methods in M&E Anupam Anand



- No fixed definition
- Data sets that are so large or complex that traditional data processing applications are inadequate
- Characterized by
 - > Volume from various sources needing large storage
 - Velocity at which they are generated
 - Variety of unstructured formats needing additional processing
 - > Value or meaning not immediately apparent

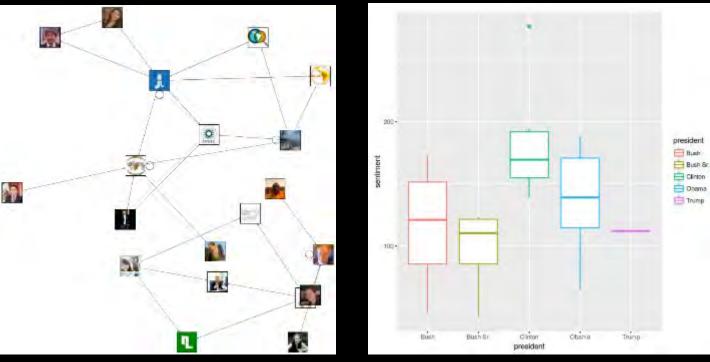
Adapted from Laney 2001, <u>www.oracle.com</u> and <u>www.sas.com</u>





Social Media

Crowdsourcing Network Analytics Text analytics Sentiment analysis



#EvalGlobaLAC17

Internet of things(IoTs)



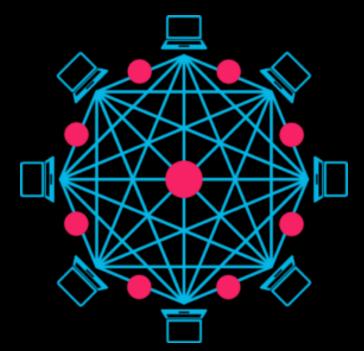
"Anything that can be connected, will be connected."

Open Source data, Blockchain

Phenomenal increase in Open data and tools

Blockchain technology will make data more secure and transparent





Geospatial Science





Tambopata National Reserve, Peru

1,400 active satellites
 Many more planned
 High resolution data available

Application in Multiple Areas

SDGs and Earth Observation



Big data such as from satellite imagery and sensor networks make environment and development indicators increasingly measurable

Drones/UAVs

For Rapid Assessments and baseline data Application areas

- Payment for Ecosystem services(land productivity, biomass)
- Infrastructure projects
- Conflict area

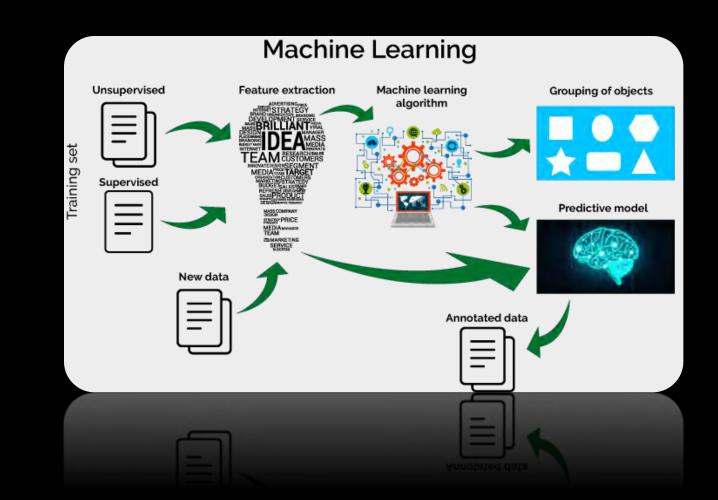






Machine Learning, Artificial Intelligence

- Process all kinds of raw data faster
- Predictive analysis, likelihood of future outcome
- Pattern identification



Lessons for the future



Partner with global institutions

Use mixed approaches and methods

> Mixed Methods

Continue exploring new methodologies and data sources

Approach evaluation as a dynamic learning process



Open Discussion

Thank you