



Independent  
Evaluation Office  
GLOBAL ENVIRONMENT FACILITY

# ENHANCING GLOBAL ENVIRONMENTAL BENEFITS THROUGH EXCELLENCE IN EVALUATION



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WORKSHOP INTRODUCTION

# Evaluating at the Nexus of Development and Environment

Juha Uitto, Director, GEF IEO

Shanghai, November 25 2019



# *Workshop Learning Objectives*



Specific challenges in  
evaluating environmental  
programs



Identify approaches  
and tools

# *Outline of the Workshop*

## November 25

1. Introduction
2. Complex socio-ecological systems
3. Evaluation approaches and methods
4. Application of innovative approaches to evaluation

## November 26

5. Evaluating environment and socio-economic co-benefits
6. Additionality and scaling up
7. Evaluating transformative change
8. Conclusions, discussion and workshop evaluation

# *Introductions*



**Juha I. Uitto**, Director

**Anupam Anand**, Evaluation Officer

**Kate Steingraber**, Evaluation Officer

**Kseniya Temnenko**, Knowledge Management Officer

# *Introductions*

- What is your name?
- What city do you come from?
- What is your experience in evaluation?
- What is your experience in environmental programs?
- What are your expectations for the workshop?

# *2030 Agenda for Sustainable Development*



People • Planet • Prosperity  
Peace • Partnerships



193 countries (2015)



Sustainable Development Goals  
Social • Economic • Environmental

# *Major related milestones*

**Sendai Framework for Disaster Risk Reduction, 2015-2030**

**Paris Agreement on Climate Change** – Effective 2016; 195 signatories

**Intergovernmental Panel on Climate Change (IPCC): Special Report 2018**

**Global Assessment Report on Biodiversity and Ecosystem Services 2019**

**Global Chemicals Outlook II: From Legacies to Innovative Solutions 2019**

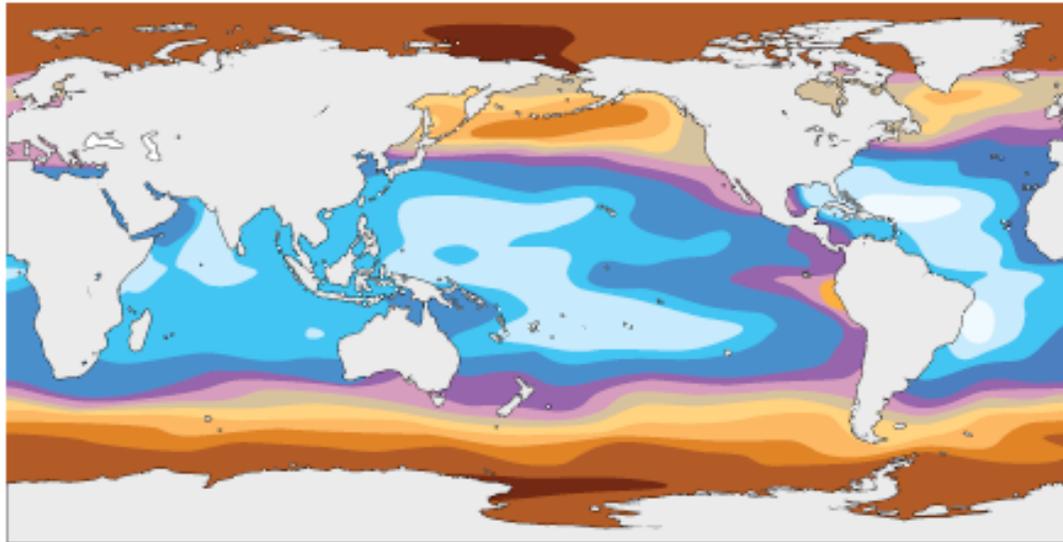
**IPCC Special Report on Climate Change and Land 2019**

**Global Commission on Adaptation: Adapt Now! Report 2019**

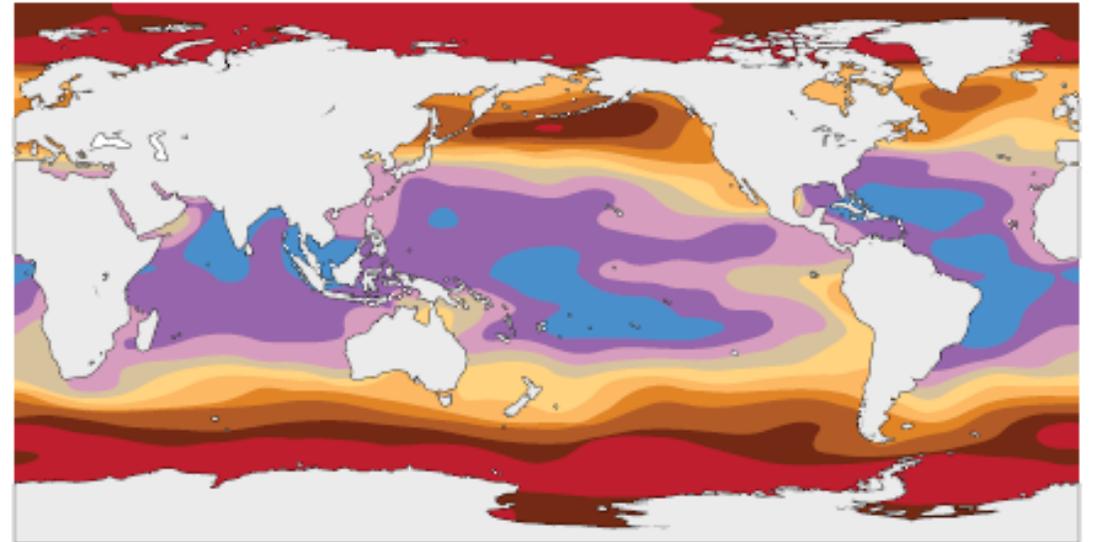
# Transgressing safe boundaries

Getting More Acidic

Aragonite saturation state 



CO<sub>2</sub> 280 PPM

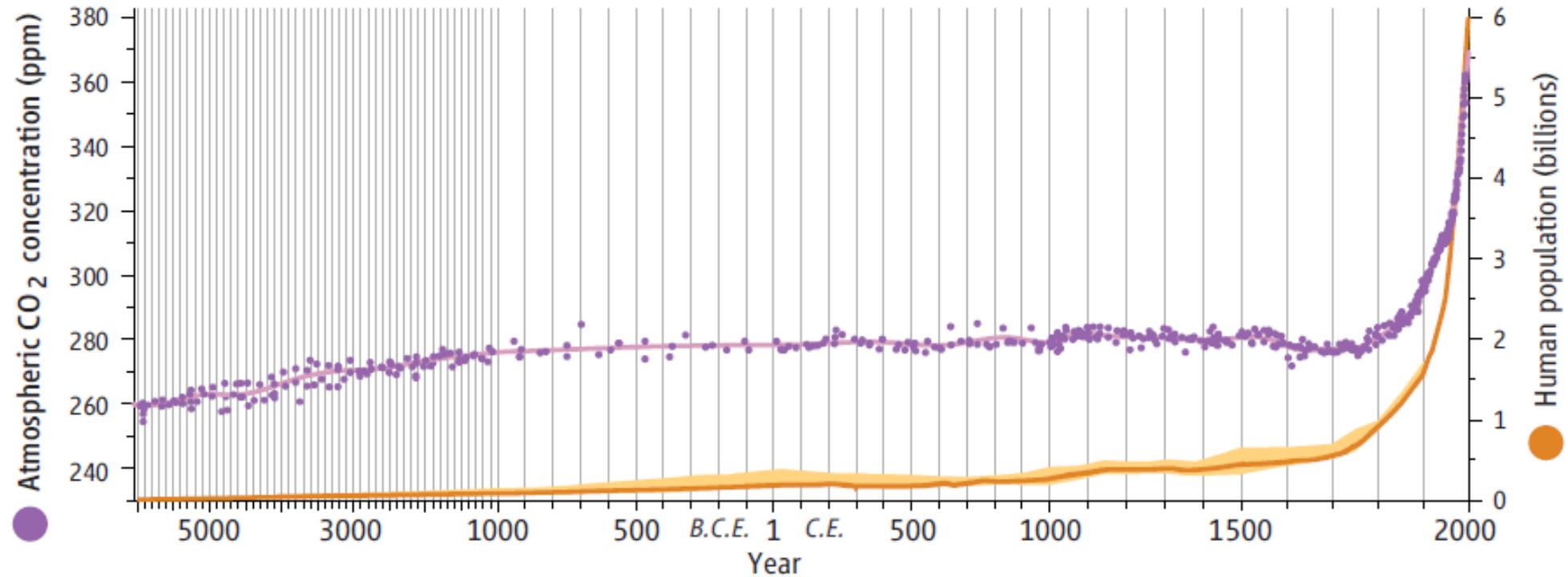


CO<sub>2</sub> 450 PPM

SOURCE: O. HOEGH-GULDBERG ET AL, SCIENCE 318, 5857 (14 DECEMBER 2007)

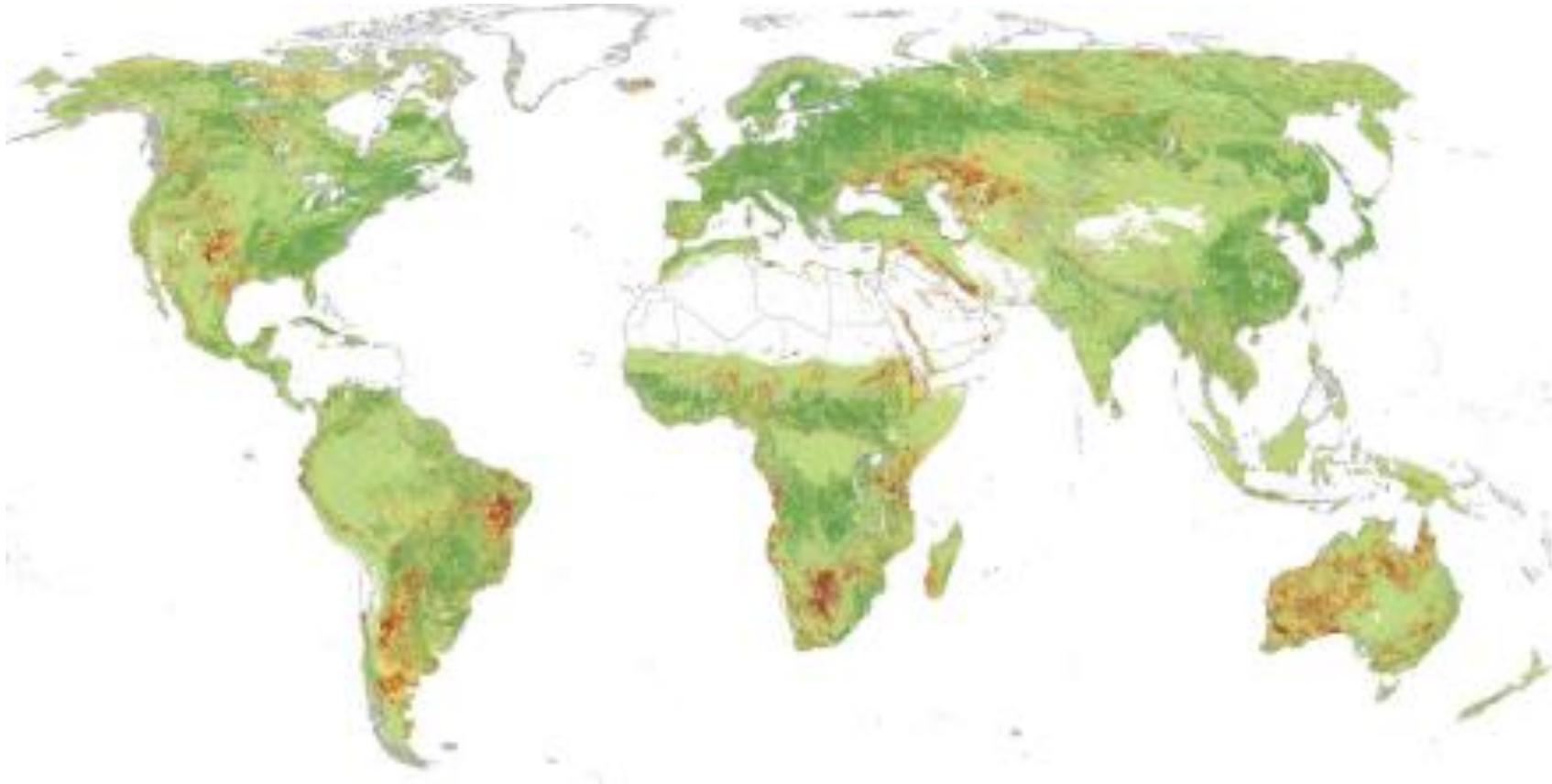
# Transgressing safe boundaries

Atmospheric CO<sub>2</sub> Concentration vs. Human Population



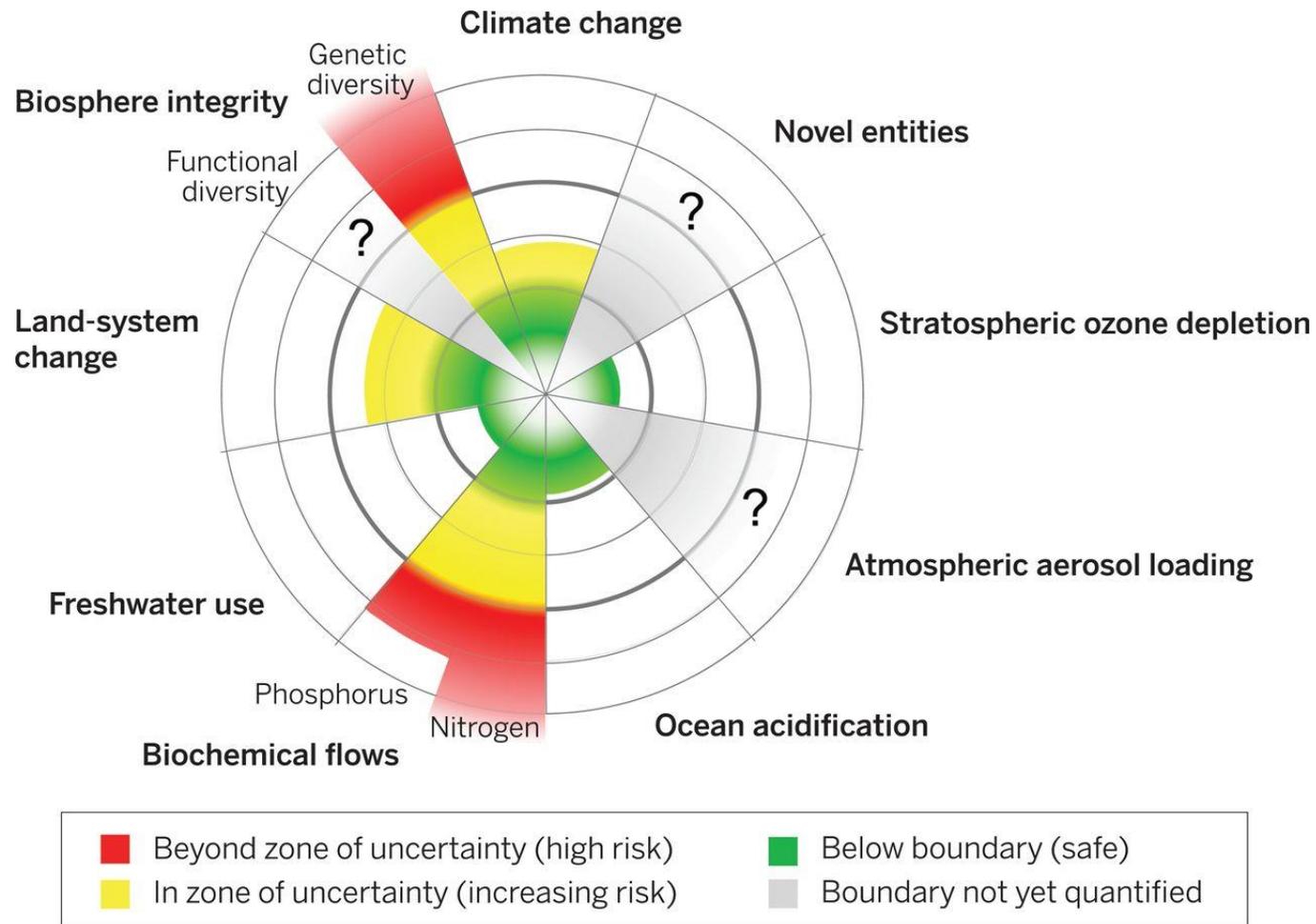
SOURCE: JED O. KAPLAN ET AL., *THE HOLOCENE* 21, 5 (AUGUST 2011)

# *Transgressing safe boundaries*



- Declining
- Moderate decline
- Stressed
- Stable
- Increasing

# Transgressing safe boundaries



# The SDGs



# The GEF and the SDGs





## Established in 1992

US\$14.5 billion  
US\$75.4 billion leverage

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## Innovator and catalyst

4,000 projects in  
167 countries

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## Unique partnership

18 implementing  
agencies

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## Financial mechanism

5 major environmental  
conventions



**United Nations**  
Framework Convention on  
Climate Change



**Convention on  
Biological Diversity**



Stockholm Convention  
on **persistent organic  
pollutants (POPs)**



**United Nations**  
Convention to Combat  
Desertification



**MINAMATA  
CONVENTION  
ON MERCURY**

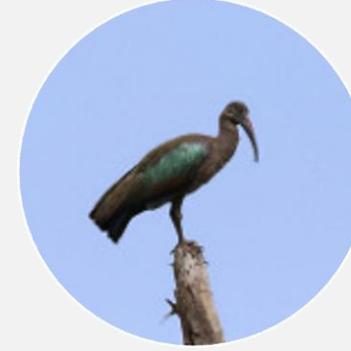
International  
waters



Land  
degradation



Biodiversity



Chemical  
and waste

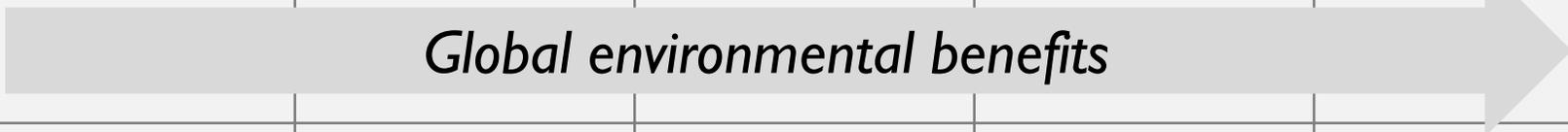


Climate  
change

*Thematic  
areas*

# Thematic areas (contd.)

*Impact programs*

		<i>Focal areas</i>				
		Biodiversity	Climate change mitigation	Land degradation	International waters	Chemicals & waste
Food, Land Use and Restoration (FOLUR)		<i>Global environmental benefits</i> 				
Sustainable Cities						
Sustainable Forest Management						

# *What do we mean by integration?*



Integration across  
environmental domains

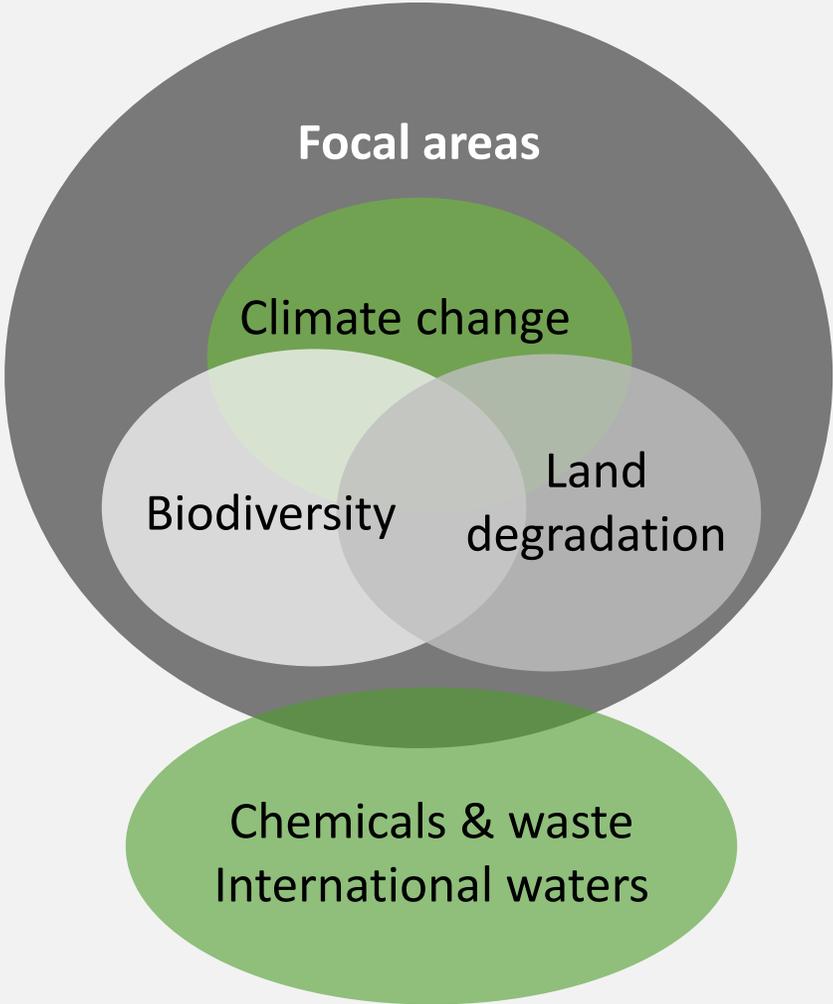
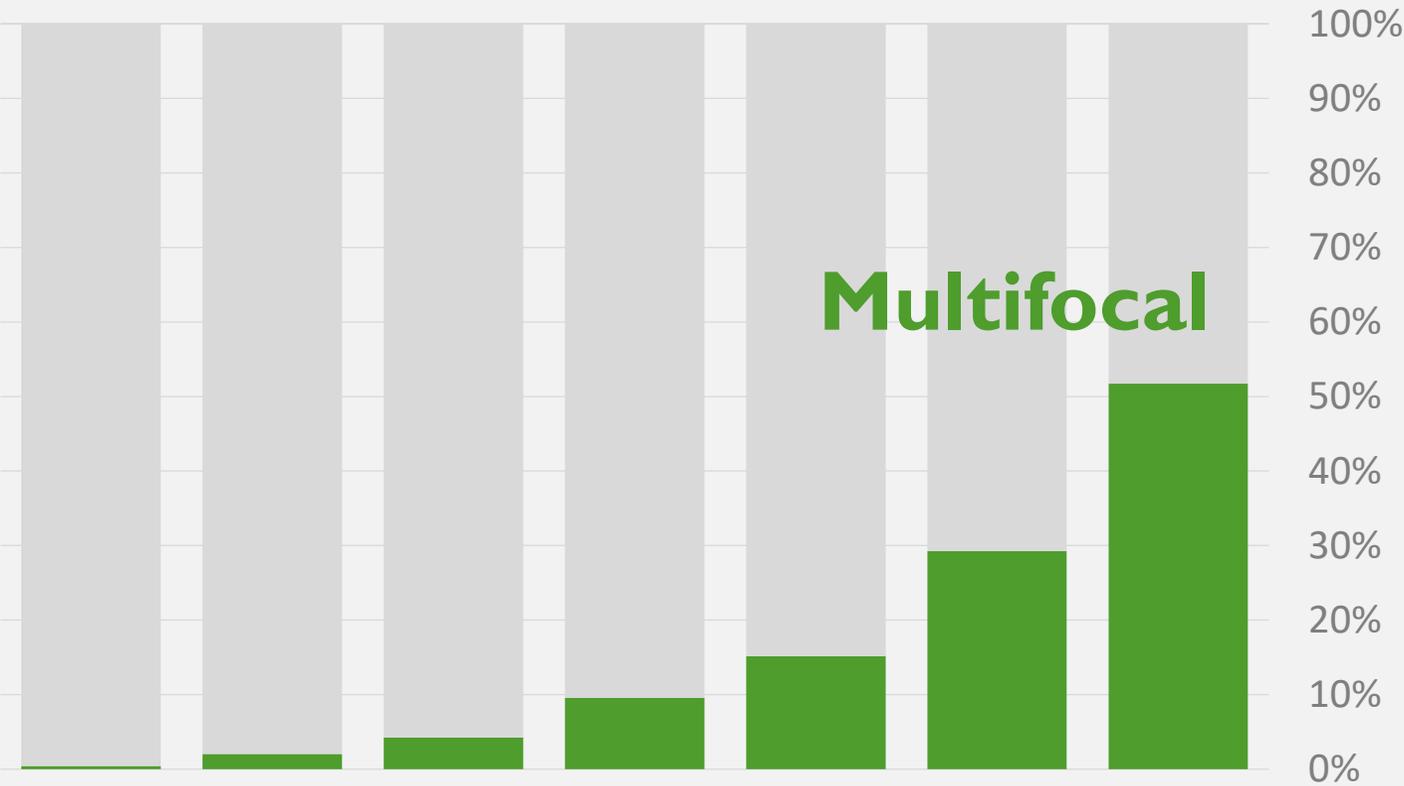


Integration between  
natural and human systems:  
*environmental, social,  
economic*

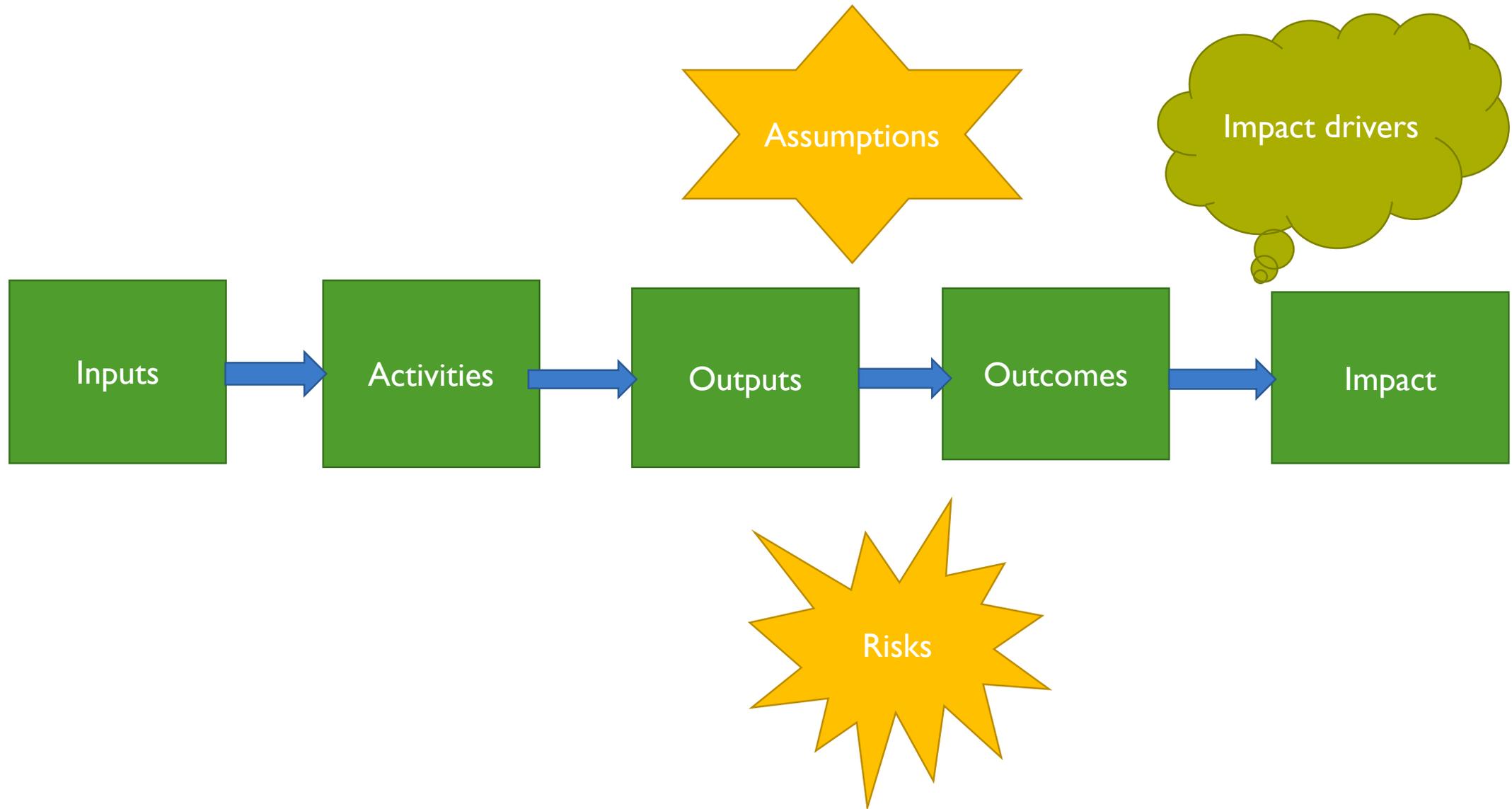
# Towards more integration

Share of portfolio is growing

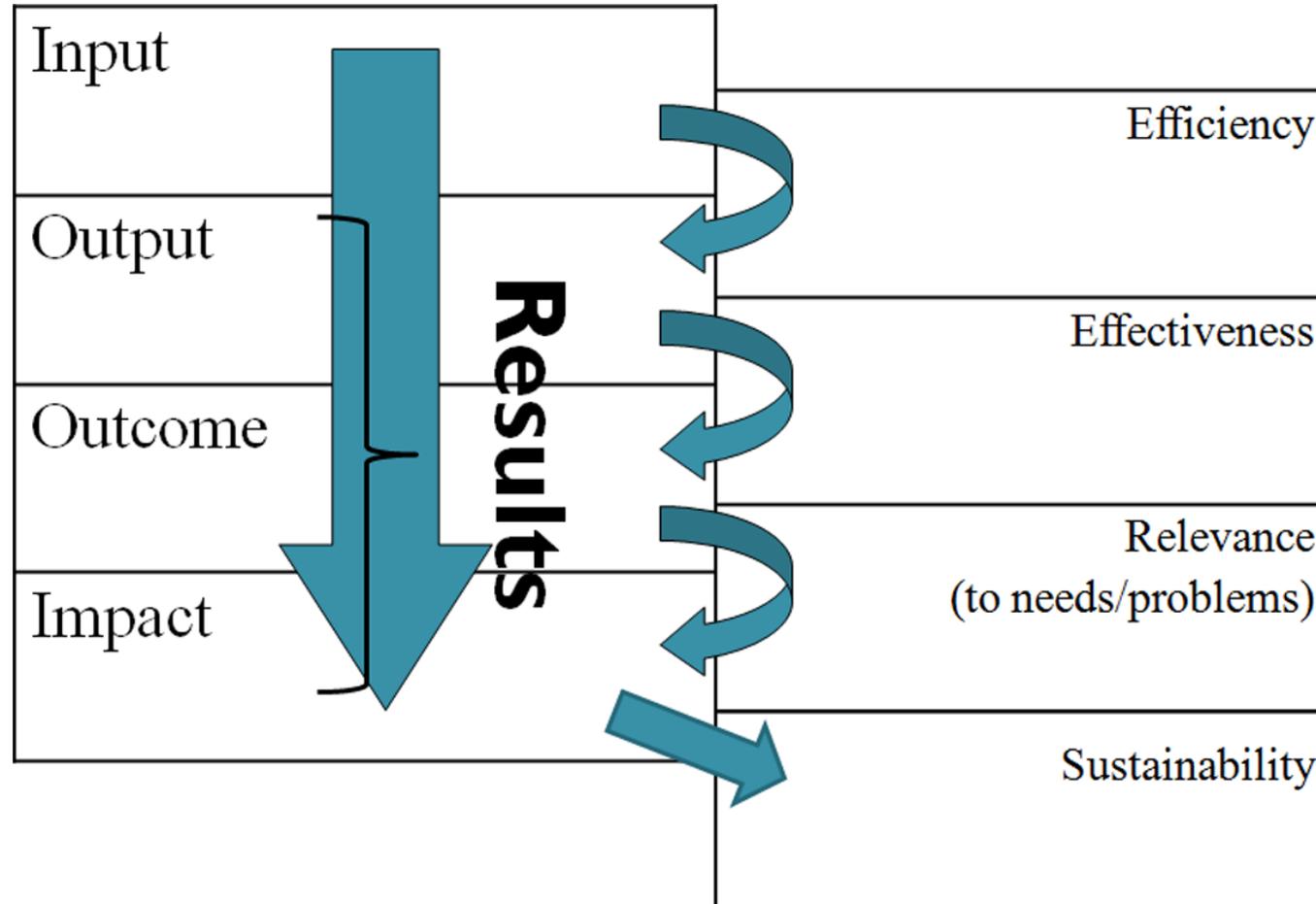
Pilot GEF-1 GEF-2 GEF-3 GEF-4 GEF-5 GEF-6



# Generic Theory of Change



# Evaluation criteria



# *Challenges for evaluation at the nexus*



Differing  
geographies



Data and  
measurements



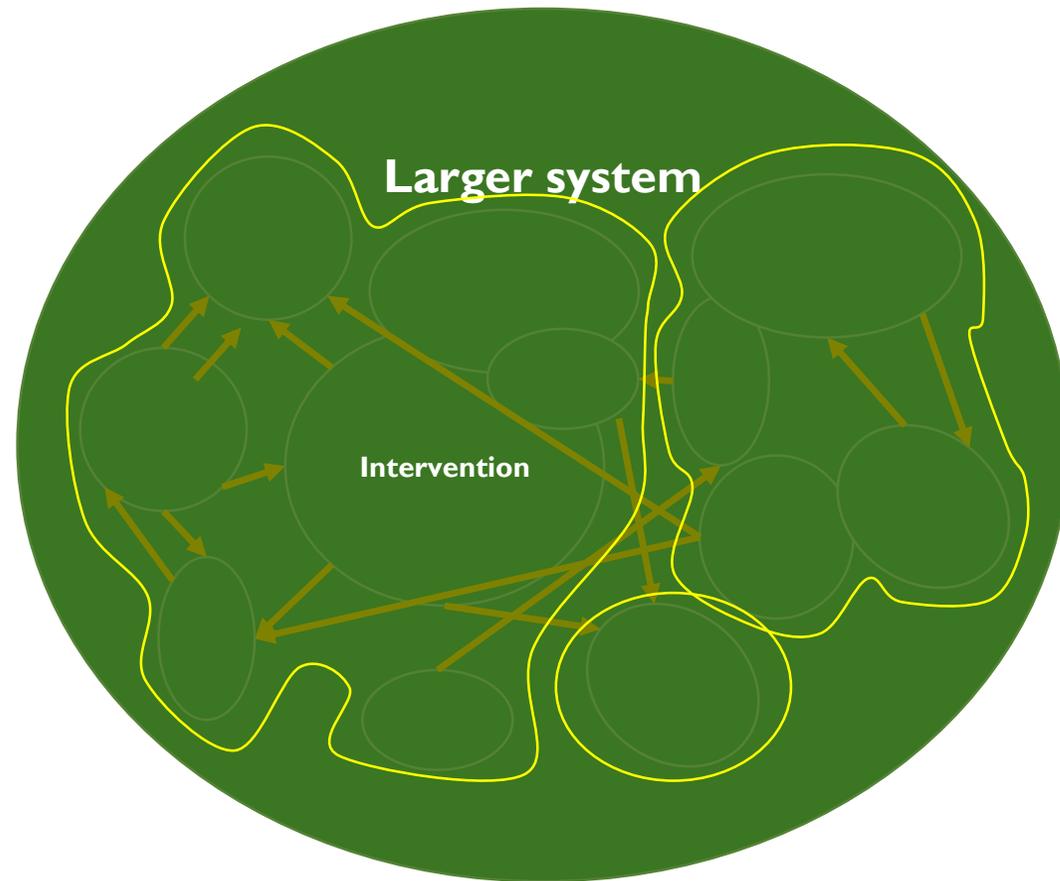
Time  
scales

# *Coupled human and natural systems*

- Complex interactions
- Mismatched time and space scales
- Influence each other and create feedback loops
- Create non-linear, unpredictable outcomes

# Elements we need to understand

- Boundaries
- Components
- Interactions
- Emergent properties

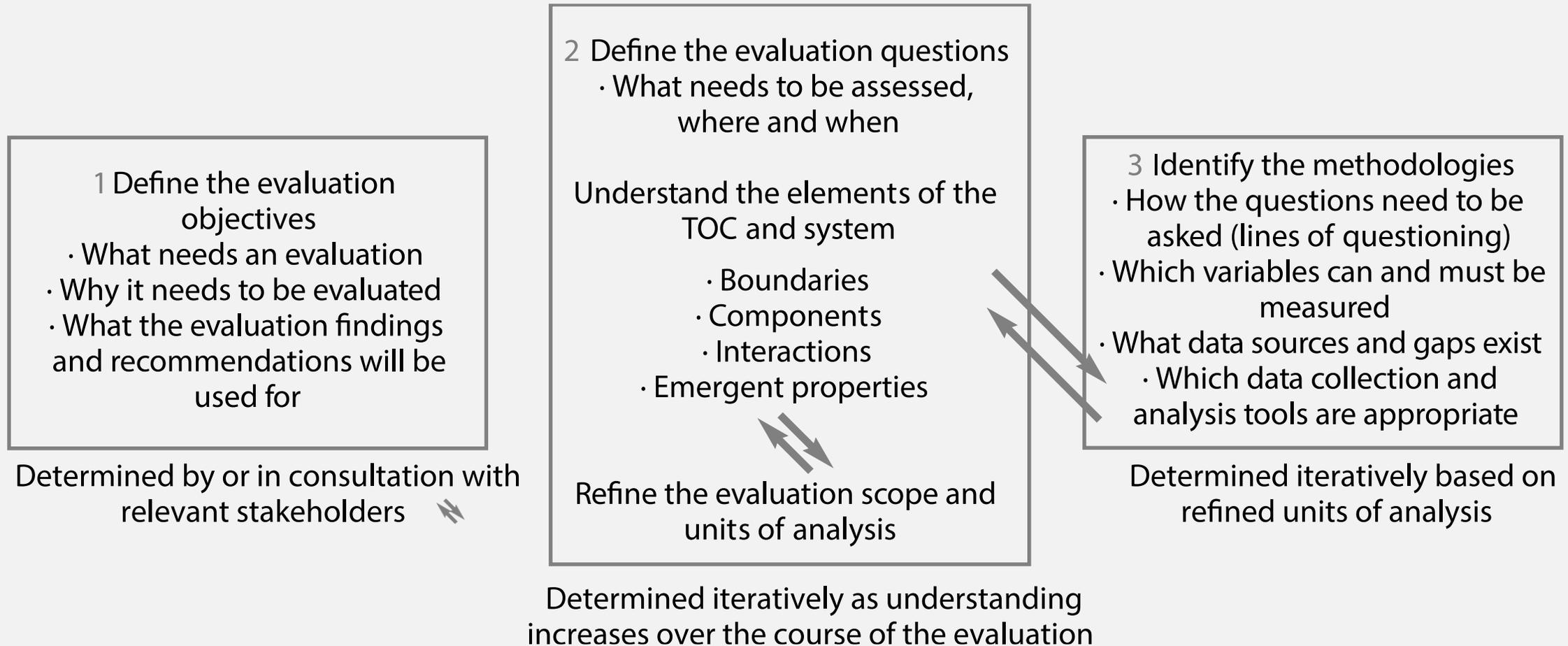


# Attribution vs. Contribution

**Attribution:** The precise causal link to changes in development results flowing from an individual intervention.

**Contribution:** The changes in development results that can be credibly linked to an intervention. Contribution implies a logical cause-and-effect relationship that points to the meaningful input of an intervention to the development result(s).

# Designing evaluation at the nexus of human and natural systems



# *Approaches and Methods*

- Evaluations must be scoped to encompass a systems perspective:  
Open theory of change
- What is known in advance through science, literature, other evaluations
- Define system boundaries — systems perspective (place evaluated in broader landscape)
- Look for unintended consequences (positive and negative)
- Different stakeholders have different priorities and perspectives
- Understand synergies and tradeoffs
- Use mixed methods: quantitative and qualitative

# *Sustainable development lens!*

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